

ONeill Thomas Emmet III  
 Form 4  
 April 02, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 ONeill Thomas Emmet III

2. Issuer Name and Ticker or Trading Symbol  
 TreeHouse Foods, Inc. [THS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 2021 SPRING ROAD, SUITE 600  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 03/29/2019

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 Exec VP, Gen Counsel & CAO

OAK BROOK, IL 60523

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                             |
| Common Stock                    | 03/29/2019                           |  | M <sup>(1)</sup>               |   | 4,977   | A  | \$ 0                              |
| Common Stock                    | 03/29/2019                           |  | F <sup>(2)</sup>               |   | 1,342   | D  | \$ 64.55                          |
| Common Stock                    | 03/31/2019                           |  | M <sup>(1)</sup>               |   | 1,206   | A  | \$ 0                              |
| Common Stock                    | 03/31/2019                           |  | F <sup>(2)</sup>               |   | 326   | D  | \$ 64.55                          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                  | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |                 |
|--|--|--------------------------------------|--|--------------------------------|---|--------|--|------------------|---|----------------------------|-----------------|
|  |  |                                      |  |                                | V   | (A)    | (D)  | Date Exercisable |   |                            | Expiration Date |
| Restricted Stock Unit                      | (3)  | 03/29/2019                           |  | A                              |   | 10,080 |  | (4)              | (4)   | Common Stock               | 10,080          |
| Restricted Stock Unit                      | (3)  | 03/29/2019                           |  | M(1)                           |   | 4,977  |  | (5)              | (5)   | Common Stock               | 4,977           |
| Restricted Stock Unit                      | (3)  | 03/31/2019                           |  | M(1)                           |   | 1,206  |  | (6)              | (6)   | Common Stock               | 1,206           |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                            |       |
|---|---------------|-----------|----------------------------|-------|
|   | Director      | 10% Owner | Officer                    | Other |
| ONeill Thomas Emmet III<br>2021 SPRING ROAD<br>SUITE 600<br>OAK BROOK, IL 60523 |               |           | Exec VP, Gen Counsel & CAO |       |

## Signatures

/s/Thomas E. O'Neill 04/02/2019

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Settlement of restricted stock units into shares of common stock.
- (2) Shares withheld to satisfy minimum tax withholding requirements upon vesting of restricted stock units.
- (3) Each restricted stock unit represents a contingent right to receive one share of common stock of TreeHouse Foods, Inc.
- (4)

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The restricted stock units vest and settle in stock or cash in three approximately equal installments on each of the first three anniversaries of the grant date.

- (5) The grant date of the restricted stock units is March 29, 2018. The restricted stock units vest and settle in stock or cash in three approximately equal installments on each of the first three anniversaries of the grant date.
- (6) The grant date of the restricted stock units is March 31, 2017. The restricted stock units vest and settle in stock or cash in three approximately equal installments on each of the first three anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.