

LACOB JOSEPH  
Form 4  
February 25, 2005

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LACOB JOSEPH

2. Issuer Name and Ticker or Trading Symbol  
ALIGN TECHNOLOGY INC  
[ALGN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/25/2005

Director  10% Owner  
 Officer (give title below)  Other (specify below)

C/O ALIGN TECHNOLOGY INC., 881 MARTIN AVE.

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

SANTA CLARA, CA 94025

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |         |   |                               |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|---------|---|-------------------------------|
|                                 |                                      |  |                                | Code V  | Amount  | (A) or (D)   | Price                             |         |   |                               |
| Common Stock                    | 02/25/2005                           | 02/25/2005   | P                              |   | 17,300  | A  | \$ 7.51                           | 594,008 | I | By Lacob Trust <sup>(1)</sup> |
| Common Stock                    | 02/25/2005                           | 02/25/2005   | P                              |   | 21,300  | A  | \$ 7.52                           | 615,308 | I | By Lacob Trust <sup>(1)</sup> |
| Common Stock                    | 02/25/2005                           | 02/25/2005   | P                              |   | 20,100  | A  | \$ 7.53                           | 635,408 | I | By Lacob Trust <sup>(1)</sup> |
| Common Stock                    | 02/25/2005                           | 02/25/2005   | P                              |   | 15,508  | A  | \$ 7.54                           | 650,916 | I | By Lacob Trust <sup>(1)</sup> |
| Common Stock                    | 02/25/2005                           | 02/25/2005   | P                              |   | 41,292  | A  | \$ 7.55                           | 692,208 | I | By Lacob Trust <sup>(1)</sup> |

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|              |            |            |   |        |   |         |           |   |                                |
|--------------|------------|------------|---|--------|---|---------|-----------|---|--------------------------------|
| Common Stock | 02/25/2005 | 02/25/2005 | P | 28,000 | A | \$ 7.56 | 720,208   | I | By Lacob Trust <sup>(1)</sup>  |
| Common Stock | 02/25/2005 | 02/25/2005 | P | 19,900 | A | \$ 7.57 | 740,108   | I | By Lacob Trust <sup>(1)</sup>  |
| Common Stock | 02/25/2005 | 02/25/2005 | P | 5,634  | A | \$ 7.58 | 745,742   | I | By Lacob Trust <sup>(1)</sup>  |
| Common Stock | 02/25/2005 | 02/25/2005 | P | 8,066  | A | \$ 7.59 | 753,808   | I | By Lacob Trust <sup>(1)</sup>  |
| Common Stock | 02/25/2005 | 02/25/2005 | P | 5,900  | A | \$ 7.6  | 759,708   | I | By Lacob Trust <sup>(1)</sup>  |
| Common Stock |            |            |   |        |   |         | 1,131,202 | D |                                |
| Common Stock |            |            |   |        |   |         | 1,492,421 | I | By KPCB VIII <sup>(2)</sup>    |
| Common Stock |            |            |   |        |   |         | 86,526    | I | By KPCB VIII FF <sup>(2)</sup> |
| Common Stock |            |            |   |        |   |         | 40,516    | I | By KPCB Life <sup>(2)</sup>    |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|  |  |                                      |  |                                |   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares  |
|  |  |                                      |  |                                |   | Code   | V   | (A)  | (D)   |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| LACOB JOSEPH<br>C/O ALIGN TECHNOLOGY INC.<br>881 MARTIN AVE.<br>SANTA CLARA, CA 94025 | X             |           |         |       |

## Signatures

Joseph Lacob                      02/25/2005  
 \_\_Signature of                      Date  
 Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mr. Lacob disclaims beneficial ownership of the shares of the Issuer's common stock held by the Lacob Trust except to the extent of any indirect pecuniary interest in his distributive share therein.
- Mr. Lacob is a general partner of KPCB VIII Associates, L.P., a CA limited partnership ("KPCB VIII Associates"). KPCB VIII Associates is the general partner of Kleiner Perkins Caufield & Byers VIII, L.P. a CA limited partnership ("KPCB VIII") and KPCB VIII Founders Fund, L.P., a CA limited partnership ("KPCB VIII FF"). Mr. Lacob is also a general partner of KPCB VII Associates, L.P., a CA limited partnership ("KPCB VII Associates"). KPCB VII Associates is the general partner of KPCB Life Sciences Zaibatsu Fund II, L.P., a CA limited partnership ("KPCB Life"). Mr. Lacob disclaims beneficial ownership of the shares of the Issuer's Common Stock held directly by KPCB VIII, KPCB VIII FF and KPCB Life, except to the extent of any indirect pecuniary interest in his distributive share therein.

### Remarks:

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.