

ABSTON TYSON T  
Form 4  
March 19, 2019

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ABSTON TYSON T

2. Issuer Name and Ticker or Trading Symbol  
GUARANTY BANCSHARES INC  
/TX/ [GNTY]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
201 SOUTH JEFFERSON AVENUE  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/15/2019

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman and CEO

MOUNT PLEASANT, TX 75455  
  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |        |   |                   |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|--------|---|-------------------|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |                                   |        |   |                   |
| Common Stock                    | 03/15/2019                           |  | A                              |   | 8,500<br>(1)  | A  | \$ 30.25                          | 88,500 | D |                   |
| Common Stock                    |                                      |  |                                |   |   |  |                                   | 62,239 | I | By Issuer<br>KSOP |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|  |  |                                      |  |                                |   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares                         |
|  |  |                                      |  |                                |   | Code   | V (A) (D)   |  |  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                  |       |
|--|---------------|-----------|------------------|-------|
|  | Director      | 10% Owner | Officer          | Other |
| ABSTON TYSON T<br>201 SOUTH JEFFERSON AVENUE<br>MOUNT PLEASANT, TX 75455 | X             |           | Chairman and CEO |       |

## Signatures

/s/ Randall R. Kucera, as attorney-in-fact 03/15/2019

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Grant of restricted stock pursuant to employment agreement with the issuer under the Guaranty Bancshares, Inc. 2015 Equity Incentive Plan (the "2015 Plan"). Shares will vest ratably beginning on the first anniversary of the grant date over the five-year period following the
- (1) grant date, or will vest in full upon the earlier occurrence of certain conditions set forth in the related award agreement or 2015 Plan. Any shares not yet vested are subject to forfeiture upon termination for cause or as otherwise specified in the 2015 Plan or related award agreement.

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