

SYNOPSIS INC
Form 3
December 08, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Pham Trac | | (Month/Day/Year) | SYNOPSIS INC [SNPS] | |
| (Last) | (First) | (Middle) | 12/05/2014 | |
| 700 EAST MIDDLEFIELD ROAD | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | | |
| MOUNTAIN VIEW, CA 94043 | | | (Check all applicable) | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | (give title below) (specify below) | |
| | | | CFO | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 248 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
| | Date Exercisable | Title | | | |

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| | | | Expiration Date | | Amount or Number of Shares | | or Indirect (I) (Instr. 5) |
|---|---------------------------|------------|-----------------|-------|----------------------------|---|----------------------------|
| Non-Qualified Stock Option (right to buy) | 11/19/2011 ⁽¹⁾ | 08/19/2018 | Common Stock | 1,094 | \$ 23.39 | D | Â |
| Non-Qualified Stock Option (right to buy) | 08/20/2011 ⁽¹⁾ | 05/20/2018 | Common Stock | 1,750 | \$ 27.01 | D | Â |
| Non-Qualified Stock Option (right to buy) | 05/18/2011 ⁽¹⁾ | 02/18/2018 | Common Stock | 1,407 | \$ 28.63 | D | Â |
| Non-Qualified Stock Option (right to buy) | 08/25/2012 ⁽¹⁾ | 05/25/2019 | Common Stock | 2,900 | \$ 29.89 | D | Â |
| Non-Qualified Stock Option (right to buy) | 08/24/2013 ⁽¹⁾ | 05/24/2020 | Common Stock | 5,250 | \$ 35.71 | D | Â |
| Non-Qualified Stock Option (right to buy) | 08/23/2014 ⁽¹⁾ | 05/23/2021 | Common Stock | 5,744 | \$ 39.09 | D | Â |
| Restricted Stock Units | Â ⁽²⁾ | 03/15/2015 | Common Stock | 750 | \$ 0 | D | Â |
| Restricted Stock Units | Â ⁽³⁾ | 06/15/2015 | Common Stock | 1,000 | \$ 0 | D | Â |
| Restricted Stock Units | Â ⁽⁴⁾ | 06/15/2016 | Common Stock | 3,500 | \$ 0 | D | Â |
| Restricted Stock Units | Â ⁽⁵⁾ | 12/08/2016 | Common Stock | 1,875 | \$ 0 | D | Â |
| Restricted Stock Units | Â ⁽⁶⁾ | 06/15/2017 | Common Stock | 4,125 | \$ 0 | D | Â |
| Restricted Stock Units | Â ⁽⁷⁾ | 06/15/2018 | Common Stock | 5,755 | \$ 0 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Pham Trac 700 EAST MIDDLEFIELD ROAD MOUNTAIN VIEW, CA 94043 | Â | Â | Â CFO | Â |

Signatures

By: POA pursuant to Christina Escalante-Dutr For: Trac
Pham

12/08/2014

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) 1/16 vested on the date shown with the balance vesting in 16 equal quarterly installments.
- (2) The restricted stock units vested as to 25% of the total shares on 3/15/12 and the remaining 75% vest in three equal annual installments thereafter, subject to continued service through each vesting date.
- (3) The restricted stock units vested as to 25% of the total shares on 6/15/12 and the remaining 75% vest in three equal annual installments thereafter, subject to continued service through each vesting date.
- (4) The restricted stock units vested as to 25% of the total shares on 6/15/13 and the remaining 75% vest in three equal annual installments thereafter, subject to continued service through each vesting date.
- (5) The restricted stock units vested as to 25% of the total shares on 12/08/13 and the remaining 75% vest in three equal annual installments thereafter, subject to continued service through each vesting date.
- (6) The restricted stock units vested as to 25% of the total shares on 6/15/14 and the remaining 75% vest in three equal annual installments thereafter, subject to continued service through each vesting date.
- (7) The restricted stock units will vest as to 25% of the total shares on 6/15/15 and the remaining 75% vest in three equal annual installments thereafter, subject to continued service through each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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