Edgar Filing: ALLIED MOTION TECHNOLOGIES INC - Form 4

ALLIED MOTION TECHNOLOGIES INC

Form 4

March 04, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations

may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * WARZALA RICHARD S

2. Issuer Name and Ticker or Trading Symbol

ALLIED MOTION TECHNOLOGIES INC [AMOT]

(Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 03/02/2016

5. Relationship of Reporting Person(s) to

Issuer

below)

_X__ Director

X_ Officer (give title

(Check all applicable)

Chief Executive Officer

X__ 10% Owner Other (specify

OMB

Number:

Expires:

response...

Estimated average

burden hours per

OMB APPROVAL

3235-0287

January 31,

2005

0.5

(Last) (First)

ALLIED MOTION TECHNOLOGIES INC., 495 COMMERCE DRIVE, SUITE 3

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

3.

Code V

Α

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting Person

AMHERST, NY 14228

(City)

Common

Common

Common

Stock

Stock

Stock

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

Amount

8,224

4. Securities Acquired 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)

(Instr. 3 and 4)

1,028,846

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

6. Ownership 7. Nature of

Common 03/02/2016 Stock

03/02/2016

03/02/2016

A

16,448 A A (2)

(3)

19,607

(1)

\$0 A

(A)

(D)

Α

Price

\$0

\$0

1,064,901

14,620

1.045,294

Ι

D

D

D

By ESOP Trust

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene

> Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

 Title of 	2.	3. Transaction Date	3A. Deemed	4.		5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transa	ction	Number	Expiration D	ate	Amou	ınt of	Derivative
Security	or Exercise		any	Code		of	(Month/Day/	Year)	Unde	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr.	8)	Derivative	•		Secur	ities	(Instr. 5)
	Derivative		•			Securities			(Instr	. 3 and 4)	
	Security					Acquired			`		
	Ĭ					(A) or					
						Disposed					
						of (D)					
						(Instr. 3,					
						4, and 5)					
						, ,					
										Amount	
							Date	Expiration		or	
								Date	Title	Number	
										of	
				Code	V	(A) (D)				Shares	

Reporting Owners

Reporting Owner Name / Address	Kelationships						
	Director	10% Owner	Officer	Other			
WARZALA RICHARD S ALLIED MOTION TECHNOLOGIES INC. 495 COMMERCE DRIVE, SUITE 3 AMHERST, NY 14228	X	X	Chief Executive Officer				

Signatures

Susan M. Chiarmonte, Attorney-in-Fact for Richard S.
Warzala
03/04/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of restricted shares pursuant to the Company's 2007 Stock Incentive Plan. These restricted shares vest one-third each on March 31, 2017, 2018 and 2019.
- Grant of performance shares pursuant to the Company's 2007 Stock Incentive Plan. All or a portion of these STIP performance shares will vest over a three-year period upon the satisfaction of certain performance goals established by the Compensation Committee for the year ending December 31, 2016.
- Grant of performance shares pursuant to the Company's 2007 Stock Incentive Plan. All or a portion of these LTIP performance shares will vest over a three-year or five-year period upon the satisfaction of certain performance goals established by the Compensation Committee for any year during the five-year period ending December 31, 2020.

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.