Edgar Filing: NARA BANCORP INC - Form 4/A

| NARA BAI | NCORP INC | | | | | | | | | | | |
|--|-----------------------------------|------------|------------|--|-------------------------|----------------|---------------|--|--|---|--|--|
| Form 4/A | | | | | | | | | | | | |
| February 11 | | | | | | | | | | | | |
| FORM | Л 4 _{UNITED} | STATES | SECU | RITIES | AND EX | CH/ | ANGE CO | OMMISSION | | PROVAL | | |
| | | n, D.C. 2 | | | | Number: 3235-0 | | | | | | |
| Check this box if no longer subject to Section 16. | | MENT O | F CHAN | ERSHIP OF | Expires: Estimated a | | | | | | | |
| Form 4 Form 5 obligation may con <i>See</i> Inst 1(b). | or Filed put ons ntinue. | (a) of the | Public U | l6(a) of t Itility Ho | lding Co | mpar | • | Act of 1934, 1935 or Section | burden hour response | rs per 0.5 | | |
| (Print or Type | Responses) | | | | | | | | | | | |
| CHANG TIMOTHY Symbol | | | Symbol | | nd Ticker o | | 1 | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | | | ORP INC | - | KAJ | (Check all applicable) | | | | |
| (Month/I | | | | te of Earliest Transaction th/Day/Year) 1/2004 | | | | Director 10% Owner X Officer (give title Other (specify below) below) SVP & Chief Financial Officer | | | | |
| Filed(Mo 10/21/2 | | | | Ionth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| LOS ANG | ELES, CA 90010 | | | | | | I | Person | | porung | | |
| (City) | (State) | (Zip) | Tab | le I - Non- | -Derivativ | e Secu | rities Acqui | ired, Disposed of, | or Beneficial | ly Owned | | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)1.Title of (Month/Day/Year)2. Transaction Date Execution Date any (Month/Day/Year) | | | n Date, if | Code | omr Dispo (Instr. 3, | sed of | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common | | | | Code V | Amount | (D) | Price | (msu: 5 and 1) | | | | |
| Common Stock | 10/21/2004 | | | Р | 400 | А | \$ 19.05 | 800 | D | | | |
| Common Stock | 10/21/2004 | | | Р | 300 | А | \$ 19.04 | 1,100 | D | | | |
| Common Stock | 10/21/2004 | | | Р | 100 | А | \$ 19.08 | 1,200 | D | | | |
| Common Stock | 10/21/2004 | | | Р | 100 | А | \$ 19.09 | 1,300 | D | | | |
| Common Stock | 10/21/2004 | | | Р | 100 | А | \$ 19.0792 | 1,400 | D | | | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exer | cisable and | 7. Title | e and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|-------------|----------|----------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orNumber | Expiration D | ate | Amou | nt of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Under | lying | Security | Secu |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securi | ties | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. | 3 and 4) | | Owne |
| | Security | | | | Acquired | | | | | | Follo |
| | | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | Amount | | |
| | | | | | | | | | or | | |
| | | | | | | Date | 1 | | Number | | |
| | | | | | | Exercisable | | of | | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | | |
|--|----------|---------------|-------------------------------|-------|--|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | | |
| CHANG TIMOTHY 3701 WILSHIRE BLVD., SUITE 220 LOS ANGELES, CA 90010 | | | SVP & Chief Financial Officer | | | | | | |
| Signatures | | | | | | | | | |
| Timothy Chang 02/11/200 |)5 | | | | | | | | |

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

The purpose of filing this amendment is to reflect the correct number of total securities beneficially owned. The transaction re

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. ptions became exercisable on 11/4/2011 and 10,919 options became exercisable on 11/4/2012.(3)All remaining derivative securities held by the reporting person expired as of March 7, 2013.

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Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1 for 1
- (2) The restricted stock units shall vest one-hundred percent (100%) on June 27, 2007. These shares cannot be sold while serving as a member of the Board of Directors for Circuit City Stores, Inc.
- (3) One-hundred percent (100%) of the Units paid as a dividend in connection with the restricted stock units granted on 6/27/2006 have vested. These shares cannot be sold while serving as a member of the Board of Directors for Circuit City Stores, Inc.

This director has elected to defer payment under the Restricted Stock Unit Deferral Program (the "Program") of the restricted stock units issued under the Circuit City Stores, Inc. 2000 Non-Employee Director Stock Incentive Plan. Vested deferred shares are held as "phantom

- (4) stock" in a phantom stock account. Dividends on vested and unvested shares will be reinvested until distributions are made. The shares underlying the phantom stock units in the director's account will be distributed to the director when he or she ceases to serve as a director of the company.
- (5) The restricted stock units shall vest one-hundred percent (100%) on June 26, 2008. These shares cannot be sold while serving as a member of the Board of Directors for Circuit City Stores, Inc.

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