

TEVENS TIMOTHY T  
Form 4  
May 19, 2011

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TEVENS TIMOTHY T

2. Issuer Name and Ticker or Trading Symbol  
COLUMBUS MCKINNON CORP  
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/17/2011

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President & CEO

140 JOHN JAMES AUDUBON PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

AMHERST, NY 14228

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock					150,196 <sup>(1)</sup>	D	
Common Stock	05/17/2011		F	930 <sup>(2)</sup>	\$ 18.78	149,266 <sup>(1)</sup>	D
Common Stock	05/17/2011		F	2,488 <sup>(2)</sup>	\$ 18.78	146,778 <sup>(1)</sup>	D
Common Stock	05/18/2011		F	2,183 <sup>(2)</sup>	\$ 19.04	144,595 <sup>(1)</sup>	D
Common Stock					5,753.2099 <sup>(3)</sup>		D

Edgar Filing: TEVENS TIMOTHY T - Form 4

Common Stock 7,000 I by Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 10					08/20/2002	08/19/2011	Common Stock	6,705
Non-Qualified Stock Options (Right to Buy)	\$ 10					08/20/2005	08/19/2011	Common Stock	13,295
Incentive Stock Options (Right to Buy)	\$ 5.46					05/17/2005	05/16/2014	Common Stock	95,000
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	8,770
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	45,172
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	33,190

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TEVENS TIMOTHY T 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228	X		President & CEO	

## Signatures

Mary C. O'Connor, Power of Attorney for Timothy T. Tevens  
 Date: 05/19/2011  
 Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).  
 Includes 42,421 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 4,629 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/19/2011; 18,082 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/18/2011; 27,472 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/17/2011, and the remaining 7,700 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting of 9,435 restricted stock units on 5/17/2011 and 6,027 restricted stock units on 5/18/2011.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (3) Originally a 19,310 incentive stock option grant, reporting person exercised 9893 options on 4/1/2011, 1408 options on 4/4/2011 and 1304 options on 4/5/2011. The remaining 6,705 options are fully exercisable, subject to IRS limitations.
- (4) Originally a 40,690 non-qualified stock option grant, reporting person exercised 21,695 options on 4/1/2011, 2960 options on 4/4/2011 and 2740 options on 4/5/2011. The remaining 13,295 options are fully exercisable, subject to IRS limitations.
- (5) Originally a 125,000 incentive stock option grant, reporting person exercised 30,000 options on 5/27/2009. The remaining 95,000 options are fully exercisable, subject to IRS limitations.
- (6) Exercisable 25% per year for four years beginning 5/19/2009, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (9)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.