

Masamune Hiroko  
Form 3  
July 03, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â Masamune Hiroko		(Month/Day/Year)	Viking Therapeutics, Inc. [VKTXT]	
(Last)	(First)	(Middle)	07/01/2018	
12340 EL CAMINO REAL, SUITE 250			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
SAN DIEGO,Â CAÂ 92130			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			Chief Development Officer	<input type="checkbox"/> Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock, par value \$0.00001 per share <u>(1)</u>	96,197	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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## Edgar Filing: Masamune Hiroko - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	Â <u>(2)</u>	01/19/2028	Common Stock	35,000	\$ 4.65	D	Â
Stock Option (Right to Buy)	Â <u>(3)</u>	06/30/2027	Common Stock	18,000	\$ 1.08	D	Â
Stock Option (Right to Buy)	Â <u>(4)</u>	01/05/2027	Common Stock	30,000	\$ 1.23	D	Â
Stock Option (Right to Buy)	Â <u>(5)</u>	06/30/2026	Common Stock	13,500	\$ 1.26	D	Â
Stock Option (Right to Buy)	Â <u>(6)</u>	03/04/2026	Common Stock	9,800	\$ 2.15	D	Â
Stock Option (Right to Buy)	Â <u>(7)</u>	05/04/2025	Common Stock	18,000	\$ 9.49	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Masamune Hiroko 12340 EL CAMINO REAL, SUITE 250 SAN DIEGO, CA 92130	Â	Â	Â Chief Development Officer	Â

## Signatures

/s/ Michael Morneau, as  
Attorney-in-Fact

07/03/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) Includes 10,000 shares subject to a restricted stock unit award ("RSU") of common stock that was granted on 1/19/2018 under the Issuer's 2014 Equity Incentive Plan. One-third of the shares subject to the RSU shall vest on each one year anniversary of the grant date of the award.
  - (2) 25% of the shares subject to the option will vest on each one year anniversary of the grant date of January 19, 2018.
  - (3) 25% of the shares subject to the option vested or will vest on each one year anniversary of the grant date of June 30, 2017.
  - (4) 25% of the original 40,000 shares subject to the option vested or will vest on each one year anniversary of the grant date of January 5, 2017.
  - (5) 25% of the original 18,000 shares subject to the option vested or will vest on each one year anniversary of the grant date of June 30, 2016.
  - (6) 25% of the original 19,600 shares subject to the option vested or will vest on each one year anniversary of the grant date of March 4, 2016.
  - (7) 25% of the shares subject to the option were vested upon the grant date of May 4, 2015 and 25% of the shares subject to the option vested on each one year anniversary of the grant date.

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**Remarks:**

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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