Grigoriadis Dimitri E. Form 4

June 15, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

1(b).

Form 5

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Grigoriadis Dimitri E.

2. Issuer Name and Ticker or Trading

Symbol

**NEUROCRINE BIOSCIENCES** INC [NBIX]

(Last) (First) (Middle) 3. Date of Earliest Transaction

> (Month/Day/Year) 06/13/2018

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

Chief Research Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SAN DIEGO, CA 92130

12780 EL CAMINO REAL

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativo	e Secu	rities Acquire	ed, Disposed of, o	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit orDisposed (Instr. 3, 4	of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/13/2018		M	63,963	A	\$ 8.65	146,905	D	
Common Stock	06/13/2018		S <u>(1)</u>	63,963	D	\$ 99.2521 (2)	82,942	D	
Common Stock	06/13/2018		M	20,215	A	\$ 19.59	103,157	D	
Common Stock	06/13/2018		S <u>(1)</u>	20,215	D	\$ 100.1126 (3)	82,942	D	
Common Stock	06/14/2018		M	2,785	A	\$ 19.59	85,727	D	

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Common 06/14/2018 Stock

 $S^{(1)}$ 2,785 \$ 100

D

82,942

D

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Option	\$ 8.65	06/13/2018		M	63,963	<u>(4)</u>	01/10/2023	Common Stock	63,9
Non-Qualified Stock Option	\$ 19.59	06/14/2018		M	23,000	(5)	01/16/2024	Common Stock	23,0

# **Reporting Owners**

**Relationships** Reporting Owner Name / Address

> Director 10% Owner Officer Other

Grigoriadis Dimitri E. 12780 EL CAMINO REAL SAN DIEGO, CA 92130

Chief Research Officer

#### **Signatures**

/s/ Darin Lippoldt, 06/15/2018 Attorney-in-Fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The disposition reported in this Form 4 was effected by a broker pursuant to instructions set forth in a Rule 10b5-1 trading plan adopted (1) by the Reporting Person at least 90 days prior to the transaction date in Box 2 above. Additionally, Issuer policy restricts the Reporting Person from amending, canceling, suspending or otherwise modifying any 10b5-1 trading plan subsequent to adoption of the plan.

Reporting Owners 2

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- Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$97.90 to \$100.33. The Reporting Person has provided to the issuer, and will provide to any security holder of the issuer or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$100.00 to \$101.17. The Reporting Person has provided to the issuer, and will provide to any security holder of the issuer or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- (4) Represents option which vests 1/4 upon anniversary of grant (1/10/2014), thereafter vesting in equal monthly installments over the following three years such that the entire award is fully vested at 12/10/2016.
- (5) Represents option which vests 1/4 upon anniversary of grant (1/16/2015), thereafter vesting in equal monthly installments over the following three years such that the entire award is fully vested at 12/16/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.