

PEABODY MARK
Form 4
December 14, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
PEABODY MARK

(Last) (First) (Middle)

130 COMMERCE WAY

(Street)

EAST AURORA, NY 14052

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ASTRONICS CORP [ATRO]

3. Date of Earliest Transaction
(Month/Day/Year)
12/12/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
VP Astronics Advanced Electron

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
\$.01 PV Common Stock					27,368	D	
\$.01 PV Class B Stock					109,005	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Edgar Filing: PEABODY MARK - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 3)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option	\$ 3.27					12/09/2009	12/09/2018	\$0.01 PV Com Stk	16,880
Option	\$ 3.27					12/09/2009	12/09/2018	\$0.01 PV Cl B Stk	23,785
Option	\$ 3.27					12/03/2010	12/03/2019	\$0.01 PV Com Stk	16,840
Option	\$ 3.27					12/03/2010	12/03/2019	\$0.01 PV Cl B Stk	23,729
Option	\$ 8.82					12/02/2011	12/02/2020	\$0.01 PV Com Stk	6,500
Option	\$ 8.82					12/02/2011	12/02/2020	\$0.01 PV Cl B Stk	9,159
Option	\$ 15.63					12/01/2012	12/01/2021	\$0.01 PV Com Stk	4,300
Option	\$ 15.63					12/01/2012	12/01/2021	\$0.01 PV Cl B Stk	5,117

Edgar Filing: PEABODY MARK - Form 4

Option	\$ 10.58					11/29/2013	11/29/2022	\$.01 PV Com Stk	7,400	
Option	\$ 10.58					11/29/2013	11/29/2022	\$.01 PV Cl B Stk	6,692	
Option	\$ 32.72					12/11/2014	12/11/2023	\$.01 PV Com Stk	2,990	
Option	\$ 32.72					12/11/2014	12/11/2023	\$.01 PV Cl B Stk	1,755	
Option	\$ 35.46					12/11/2015	12/11/2024	\$.01 PV Com Stk	3,470	
Option	\$ 35.46					12/11/2015	12/11/2024	\$.01 PV Cl B Stk	1,119	
Option	\$ 31.88					12/03/2016	12/03/2025	\$.01 PV Com Stk	4,500	
Option	\$ 31.88					12/03/2016	12/03/2025	\$.01 PV Cl B Stk	675	
Option	\$ 36.52					12/14/2017	12/14/2026	\$.01 PV Com Stk	4,820	
Option	\$ 40.95	12/12/2017		<u>A⁽¹⁾</u>	7,010	12/12/2018	12/12/2027	\$.01 PV Com Stk	7,010	\$ 40

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

PEABODY MARK
130 COMMERCE WAY
EAST AURORA, NY 14052

VP Astronics Advanced Electron

Signatures

/s/Julie Davis, as Power of Attorney for Mark
Peabody

12/14/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Granted pursuant to the Astronics Corporation 2017 Long Term Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.