Davis Richard Loren Form 4 November 15, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL
OMB 3235-0287

Number:

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if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 30(h) of the Ir

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Davis Richard Loren |          |          | 2. Issuer Name and Ticker or Trading Symbol Triumph Bancorp, Inc. [TBK] | 5. Relationship of Reporting Person(s) to Issuer        |  |  |  |  |
|---|----------|----------|---|---|--|--|--|--|
| (Last) (First) (Mid   |          | (Middle) | 3. Date of Earliest Transaction   | (Check all applicable)                                  |  |  |  |  |
|   |          |          | (Month/Day/Year)  | X Director 10% Owner                                    |  |  |  |  |
| 12700 PARK<br>DRIVE, SUI                                      |          |          | 11/09/2017  | Officer (give title Other (specify below)               |  |  |  |  |
|   | (Street) |          | 4. If Amendment, Date Original  | 6. Individual or Joint/Group Filing(Check               |  |  |  |  |
|   |          |          | Filed(Month/Day/Year)   | Applicable Line) _X_ Form filed by One Reporting Person |  |  |  |  |
| DALLAS, T   | X 75251  |          |   | Form filed by More than One Reporting Person            |  |  |  |  |

| (City)                               | (State) (Z                             | (Zip) Tabl |                     |            | le I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                  |            |  |  |   |  |  |  |  |
|--------------------------------------|--|------------|---------------------|------------|---|------------------|------------|--|--|---|--|--|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | Month/Day/Year) Execution Date, if any |            | Transaction<br>Code |            | 4. Securities<br>nAcquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5)   |                  |            | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |  |  |  |
|                                      |  |            | Code V              | V          | Amount  | (A)<br>or<br>(D) | Price      | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |  |   |  |  |  |  |
| Common<br>Stock                      | 11/09/2017                             |            | G V                 | <b>\</b> / | 2,569<br>(1)  | D                | \$0        | 62,495 (2)   | D  |   |  |  |  |  |
| Common<br>Stock                      | 11/13/2017                             |            | J                   |            | 6,505<br>(3)  | A                | <u>(3)</u> | 69,000 (4)   | D  |   |  |  |  |  |
| Common<br>Stock                      | 11/13/2017                             |            | J                   | ,          | 9,764<br>( <u>3)</u>  | A                | (3)        | 75,979 (5)   | I  | By Rick<br>Davis 2006<br>Family<br>Trust              |  |  |  |  |
| Common<br>Stock                      | 11/13/2017                             |            | J                   |            | 9,764   | A                | (3)        | 75,979 <u>(6)</u>  | I  | By Sheree<br>Davis 2006<br>Children's                 |  |  |  |  |

Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | ate                | 7. Title<br>Amount<br>Underly<br>Securiti<br>(Instr. 3 | t of<br>ring<br>es | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |
|---|---|---|--------------------------------------|--|---------------------|--------------------|--|--------------------|---|
|   |   |   | Code V                               | ' (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title N  | Number             |   |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |  |
| Davis Richard Loren<br>12700 PARK CENTRAL DRIVE<br>SUITE 1700<br>DALLAS, TX 75251 | X             |           |         |       |  |  |  |

# **Signatures**

/s/ Adam D. Nelson, Attorney-in-fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a bone fide gift to the Rick & Sheree Davis Family Foundation, a 501(c)(3) charitable organization.
- (2) Consists of (i) 17,571 shares beneficially owned by reporting person, (ii) 44,429 shares of common stock held jointly with reporting person's spouse, Sheree Davis, and (iii) 495 shares of restricted stock of the reporting person subject to future time vesting requirements.
- (3) Shares were distributed to the reporting person as the ultimate recipient of a distribution made by Triumph Consolidated Cos., LLC, under the terms of its operating agreement. See Footnote 1 to "Security Ownership of Certain Beneficial Owners and Management" of

Reporting Owners 2

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Issuer's most recent proxy statement on Form 14-A filed with the Securities and Exchange Commission on March 24, 2017.

- (4) Consists of (i) 17,571 shares beneficially owned by reporting person, (ii) 50,934 shares of common stock held jointly with reporting person's spouse, Sheree Davis, and (iii) 495 shares of restricted stock of the reporting person subject to future time vesting requirements.
- (5) These 75,979 shares are beneficially owned by reporting person as trustee of the Rick Davis 2006 Family Trust. Reporting person disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.
- (6) These 75,979 shares are beneficially owned by reporting person as trustee of the Sheree Davis 2006 Children's Trust. Reporting person disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.