### Edgar Filing: BORKAR RATAN S - Form 3/A

#### **BORKAR RATAN S**

Form 3/A

November 13, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

BORKAR RATAN S

12544 HIGH BLUFF

DRIVE, Â SUITE 200

(Last)

(City)

(Instr. 4)

1. Title of Security

(First)

(Middle)

(Zip)

Statement

(Month/Day/Year)

10/16/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

OUIDEL CORP /DE/ [ODEL]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

10/18/2017

(Check all applicable)

SVP, Intl Comm Operations

Director

\_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SAN DIEGO, CAÂ 92130

(State)

(Street)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Securities Underlying Derivative Security (Instr. 4)

3. Title and Amount of

4. Conversion or Exercise Price of Derivative

6. Nature of Indirect 5. Beneficial Ownership Form of Ownership

Derivative (Instr. 5) Security: Direct (D)

Expiration Title Amount or Security Number of

Shares

(I)

Date Exercisable

Date

or Indirect

1

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						(Instr. 5)	
Non-Qualified Stock Options (Right to Buy)	01/18/2014	01/18/2020	Common Stock	3,169	\$ 15.28	D	Â
Non-Qualified Stock Options (Right to Buy)	03/02/2015	03/02/2021	Common Stock	9,000	\$ 12.63	D	Â
Non-Qualified Stock Options (Right to Buy)	03/02/2016	03/02/2022	Common Stock	25,223	\$ 15.19	D	Â
Non-Qualified Stock Options (Right to Buy)	02/25/2017	02/25/2023	Common Stock	17,269	\$ 22.21	D	Â
Non-Qualified Stock Options (Right to Buy)	(1)	02/24/2024	Common Stock	21,068	\$ 27.57	D	Â
Non-Qualified Stock Options (Right to Buy)	(2)	02/05/2025	Common Stock	21,506	\$ 23.41	D	Â
Non-Qualified Stock Options (Right to Buy)	(3)	02/10/2026	Common Stock	21,506	\$ 15.4	D	Â
Non-Qualified Stock Options (Right to Buy)	(4)	02/15/2027	Common Stock	7,593	\$ 21.08	D	Â
Restricted Stock Units	02/24/2018	(5)	Common Stock	240	\$ 0 (6)	D	Â
Restricted Stock Units (Converted)	02/28/2014	(7)	Common Stock	1,963	\$ 0 (6)	D	Â
Restricted Stock Units (Premium)	02/28/2015	(8)	Common Stock	589	\$ 0 (6)	D	Â
Restricted Stock Units	(9)	(9)	Common Stock	1,468	\$ 0 (6)	D	Â
Restricted Stock Units (Converted)	02/11/2015	(7)	Common Stock	1,995	\$ 0 (6)	D	Â
Restricted Stock Units (Premium)	02/11/2016	(10)	Common Stock	598	\$ 0 (6)	D	Â
Restricted Stock Units	(11)	(11)	Common Stock	2,938	\$ 0 (6)	D	Â
Restricted Stock Units (Converted)	02/16/2016	(7)	Common Stock	3,168	\$ 0 (6)	D	Â
Restricted Stock Units (Premium)	02/16/2017	(10)	Common Stock	950	\$ 0 (6)	D	Â
Restricted Stock Units	09/19/2020	(12)	Common Stock	2,500	\$ 0 (6)	D	Â
Restricted Stock Units	02/15/2021	(13)	Common Stock	3,797	\$ 0 (6)	D	Â
Restricted Stock Units (Converted)	02/17/2017	(7)	Common Stock	4,674	\$ 0 (6)	D	Â

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Restricted Stock Units (Premium)

02/17/2018 Â (14)

Common Stock

1,471 \$ 0 <u>(6)</u>

D

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# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

BORKAR RATAN S

12544 HIGH BLUFF DRIVE SUITE 200

SAN DIEGO. CAÂ 92130

Â

SVP, Intl Comm Operations

a Â

**Signatures** 

Robert J. Bujarski, attorney-in-fact for Ratan S. Borkar

11/13/2017

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 10,534 shares vested on 02/24/2016; 10,534 shares will vest on 02/24/2018.
- (2) 10,754 shares vested on 02/05/2017; 5,376 shares will vest on 02/05/2018; and 5,376 shares will vest on 02/05/2019.
- (3) 10,754 shares will vest on 02/10/2018; 5,376 shares will vest on 02/10/2019; and 5,376 shares will vest on 02/10/2020.
- (4) 3,797 shares will vest on 02/15/2019; 1,898 shares will vest on 02/15/2020; and 1,898 shares will vest on 02/15/2021.
- (5) Each restricted stock unit will vest on the fourth anniversary date of the grant date, 02/24/2018.
- (6) Each restricted stock unit represents the right to receive one share of Quidel Corporation common stock.
- (7) The restricted stock units were received in lieu of a percentage of the reporting person's annual cash bonus pursuant to the company's deferred bonus compensation plan.
- (8) The restricted stock units vested on the first anniversary date of the grant date, 02/28/2015.
- (9) 734 shares will vest on 02/15/2018; 734 shares will vest on 02/05/2019.
- (10) The restricted stock units vested on the first anniversary date of the grant date, 02/16/2016.
- (11) 1,469 restricted stock units will vest on 02/10/2018; 734 restricted stock units will vest on 02/10/2019; and 735 restricted stock units will vest on 02/10/2020.
- (12) The restricted stock units will vest on the fourth anniversary date of the grant date, 09/19/2020.
- (13) The restricted stock units will vest on the fourth anniversary date of the grant date, 02/17/2021.
- (14) The restricted stock units will vest on the first anniversary date of the grant date, 02/17/2018.

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#### **Remarks:**

 $This \hat{A} \ Form \hat{A} \ 3/A \hat{A} \ is \hat{A} \ being \hat{A} \ filed \hat{A} \ to \hat{A} \ include \hat{A} \ items \hat{A} \ on \hat{A} \ Table \hat{A} \ II \hat{A} \ that \hat{A} \ were \hat{A} \ inadvertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ were \hat{A} \ inadvertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ were \hat{A} \ in advertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ were \hat{A} \ in advertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ were \hat{A} \ in advertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ were \hat{A} \ in advertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ were \hat{A} \ in advertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ were \hat{A} \ in advertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ were \hat{A} \ in advertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ were \hat{A} \ in advertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ were \hat{A} \ in advertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ were \hat{A} \ in advertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ were \hat{A} \ in advertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ were \hat{A} \ in advertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ were \hat{A} \ in advertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ were \hat{A} \ in advertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ were \hat{A} \ in advertently \hat{A} \ omitted \hat{A} \ from \hat{A} \ that \hat{A} \ that \hat{A} \ were \hat{A} \ in advertently \hat{A} \ from \hat{A} \ that \hat{A} \ that$ 

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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