Edgar Filing: PEGASYSTEMS INC - Form 4

| PEGASYS Form 4 July 18, 201 | | | | | | | | | | | |
|---|---|--|---|--------------|----------------|--|--|--|--|---|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION | | | | | | | | | | APPROVAL | |
| - | Washington, D.C. 20549 | | | | | | | | N OMB Number: | 3235-0287 | |
| Check th if no lon subject t Section Form 4 o Form 5 | statement of changes in Beneficial ownership of SECURITIES | | | | | | | | Expires: Estimated burden he response | • | |
| obligation may con <i>See</i> Inst 1(b). | ons fittinue. Section 17(| | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u></u> JONES RICHARD H | | | 2. Issuer Name and Ticker or Trading Symbol PEGASYSTEMS INC [PEGA] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) (I | Middle) | 3. Date of Earliest Transaction | | | | | (Check all applicable) | | | |
| C/O PEGASYSTEMS INC., 1 ROGERS STREET | | | (Month/Day/Year) 07/14/2016 | | | | | _X_ Director10% Owner Officer (give titleOther (specify below)below) | | | |
| | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by Mara than One Reporting | | | | | |
| CAMBRIE | DGE, MA 02142 | | | | | | Form filed by More than One Reporting Person | | | | |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Derivative | Secu | rities Aco | quired, Disposed | of, or Benefic | ially Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | ransaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year) | | | (A) | | | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code V | Amount | or (D) | Price | Transaction(s) (Instr. 3 and 4) | | _ | |
| Common Stock | 07/14/2016 | | | S | 300 <u>(1)</u> | D | \$ 28.47 | 72,276 <u>(2)</u> | Ι | Jones Family Foundation | |
| Common Stock | 07/14/2016 | | | S | 600 <u>(1)</u> | D | \$ 28.47 | 627,642 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Reporting Owners

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|-------|--|---|--|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

Reporting Owner Name / Address
Director

JONES RICHARD H C/O PEGASYSTEMS INC. 1 ROGERS STREET CAMBRIDGE, MA 02142

Signatures

/s/ Janet Mesrobian, Esq., Attorney-In-Fact for Richard Jones

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

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- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sold pursuant to a pre-arranged stock trading plan under rule 10b5-1 of the Securities Exchange Act of 1934, as amended.

Relationships

10% Owner Officer Other

(2) Shares owned by the Jones Family Foundation, as which Mr. Jones has no pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

07/18/2016

Date