PATTERSON COMPANIES, INC.

Form 4 July 11, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

Expires:

January 31, 2005

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Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Anderson Scott P

2. Issuer Name and Ticker or Trading

Issuer

Symbol

PATTERSON COMPANIES, INC.

(Check all applicable)

5. Relationship of Reporting Person(s) to

[PDCO]

(Last) (First) (Middle) 3. Date of Earliest Transaction

X Director 10% Owner X\_ Officer (give title Other (specify below)

(Month/Day/Year) 1031 MENDOTA HEIGHTS ROAD

07/08/2016

below) Chairman, President & CEO

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

**ST PAUL, MN 55120** 

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(Month/Day/Year) Execution Date, if

2. Transaction Date 2A. Deemed

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) (Instr. 4)

Ownership (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Common Stock

07/08/2016

 $A^{(1)}$ 

216

Α \$0  $128,393 \stackrel{(2)}{=}$ 

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options	<u>(3)</u>					07/01/2019	07/01/2026	Common Stock	68,681
Employee Stock Options	<u>(4)</u>					07/01/2018	07/01/2025	Common Stock	42,698
Employee Stock Options	<u>(5)</u>					<u>(6)</u>	07/01/2025	Common Stock	250,000
Employee Stock Options	<u>(7)</u>					07/01/2017	07/01/2024	Common Stock	20,200

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Anderson Scott P 1031 MENDOTA HEIGHTS ROAD ST PAUL, MN 55120	X		Chairman, President & CEO					

## **Signatures**

Les B. Korsh, by Power of Attorney 07/11/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- $(1) \quad \text{Shares allocated to Reporting Person pursuant to the Patterson Companies, Inc. Employee Stock Ownership Plan ("ESOP")}.$
- (2) Reflects ESOP re-allocations due to other employee forfeitures as well as dividend reinvestments.
- (3) Stock options granted pursuant to the Patterson Companies, Inc. 2015 Omnibus Incentive Plan on 7/1/2016 at \$48.47.

(4) Stock options granted 7/1/2015 at \$49.27.

Reporting Owners 2

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- (5) Stock options granted 7/1/2015 at \$56.66.
- (6) Options are exercisable as follows: 25% on 7/1/2018, 25% on 7/1/2019 and 50% on 7/1/2020.
- (7) Options granted as follows: 20,200 on 7/1/2014 at \$39.64.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.