

FISERV INC
Form 4
November 04, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Hirsch Thomas J

(Last) (First) (Middle)
255 FISERV DRIVE
(Street)

BROOKFIELD, WI 53045

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
FISERV INC [FISV]

3. Date of Earliest Transaction (Month/Day/Year)
11/02/2015

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
Chief Financial Officer

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	11/02/2015		M		25,000 A \$ 27.35	92,996 ⁽¹⁾	D
Common Stock	11/02/2015		F		15,961 ₍₂₎ D \$ 96.8	77,035	D
Common Stock	11/02/2015		M		38,740 A \$ 27.11	115,775	D
Common Stock	11/02/2015		F		24,680 ₍₂₎ D \$ 96.859	91,095	D
Common Stock	11/02/2015		M		48,424 A \$ 27.11	139,519	D

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Common Stock	11/02/2015	F	<u>30,848</u> (2)	D	\$ 96.89	108,671	D
Common Stock	11/02/2015	M	88,248	A	\$ 16.37	196,919	D
Common Stock	11/02/2015	F	<u>51,286</u> (2)	D	\$ 96.89	145,633	D
Common Stock	11/02/2015	M	50,000	A	\$ 23.85	195,633	D
Common Stock	11/02/2015	F	<u>31,009</u> (2)	D	\$ 96.81	164,624	D
Common Stock	11/02/2015	S	96,628	D	<u>\$ 96.66</u> (3)	67,996	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 27.35	11/02/2015		M	25,000	02/23/2007 ⁽⁴⁾	02/23/2017	Common Stock	25,000
Employee Stock Option (right to buy)	\$ 27.11	11/02/2015		M	38,740	02/27/2011	02/27/2018	Common Stock	38,740
Employee Stock Option (right to buy)	\$ 27.11	11/02/2015		M	48,424	02/27/2009 ⁽⁵⁾	02/27/2018	Common Stock	48,424

buy)

Employee
Stock

Option	\$ 16.37	11/02/2015		M	88,248	02/26/2010 ⁽⁶⁾	02/26/2019	Common Stock	88,2
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(right to
buy)

Employee
Stock

Option	\$ 23.85	11/02/2015		M	50,000	02/24/2011 ⁽⁷⁾	02/24/2020	Common Stock	50,0
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(right to
buy)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hirsch Thomas J 255 FISERV DRIVE BROOKFIELD, WI 53045			Chief Financial Officer	

Signatures

/s/ Thomas J.
Hirsch

11/03/2015

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transactions reported herein are for estate and financial planning purposes in anticipation of previously announced retirement on March 31, 2016.
- (2) Reflects payment of exercise price and tax liability by withholding securities incident to exercise of stock options.
- (3) This transaction was executed in multiple trades at prices ranging from \$96.30 to \$96.89. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) This option vested in five equal installments on the grant date, February 23, 2007, and on each anniversary of the grant date.
- (5) This option vested in three equal installments on each anniversary of the grant date, February 27, 2008.
- (6) This option vested in three equal installments on each anniversary of the grant date, February 26, 2009.
- (7) This option, representing a right to purchase a total of 83,320 shares, vested in three equal installments on each anniversary of the grant date, February 24, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.