OM GROUP INC

Form 4 February 18, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB

Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Hix Christopher M | | | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer |
|--|----------|--|--|---|
| (Last) (First) (Middle) FLATS EAST BANK BUILDING, 950 MAIN AVENUE, SUITE 1300 | | | OM GROUP INC [OMG] 3. Date of Earliest Transaction | (Check all applicable) |
| | | | (Month/Day/Year) 03/07/2014 | Director 10% Owner Scheme Officer (give title Other (specification) below) VP and Chief Financial Officer |
| | (Street) | | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line)_X_ Form filed by One Reporting Person |

CLEVELAND, OH 44113

| | | | | | 1 013011 | | |
|--------------------------------------|---|---|--|--|--|--|---|
| (City) | (State) | (Zip) Tabl | e I - Non-D | Derivative Securities Acc | quired, Disposed o | f, or Beneficial | ly Owned |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| ~ | | | | 1 7 4 4 | | | |

| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | |
|-----------------|------------|------------|--------|--------------|-----------|-------------|------------------|---|
| Common Stock | 02/14/2015 | <u>(1)</u> | F | 1,544 (2) | D | \$ 29.78 | 81,933 | D |
| Common Stock | 02/14/2015 | <u>(1)</u> | X | 45 | A | <u>(3)</u> | 81,978 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Form filed by More than One Reporting

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exer Expiration D (Month/Day. | ate | 7. Title and 2 Underlying S (Instr. 3 and | Securities | 8. Price Derivat Security (Instr. 5 |
|---|---|---|---|---------------------------------------|---|---|--------------------|---|--|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Dividend Equivalent Rights | <u>(4)</u> | 03/07/2014 | <u>(1)</u> | A | 10 | <u>(4)</u> | <u>(4)</u> | Common Stock | 10 | \$ 0 |
| Dividend Equivalent Rights | <u>(4)</u> | 06/06/2014 | <u>(1)</u> | A | 11 | <u>(4)</u> | <u>(4)</u> | Common Stock | 11 | \$ 0 |
| Dividend Equivalent Rights | <u>(4)</u> | 09/05/2014 | <u>(1)</u> | A | 12 | <u>(4)</u> | <u>(4)</u> | Common Stock | 12 | \$ 0 |
| Dividend Equivalent Rights | <u>(4)</u> | 12/05/2014 | <u>(1)</u> | A | 12 | <u>(4)</u> | <u>(4)</u> | Common Stock | 12 | \$ 0 |
| Dividend Equivalent Rights | (3) | 02/14/2015 | <u>(1)</u> | X | 45 | <u>(1)</u> | <u>(1)</u> | Common Stock | 45 | <u>(3)</u> |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|
| | Director | 10% Owner | Officer | Other | |

Hix Christopher M FLATS EAST BANK BUILDING 950 MAIN AVENUE, SUITE 1300 CLEVELAND, OH 44113

VP and Chief Financial Officer

Signatures

/s/ Christopher M. Hix, by Michele Connell as attorney-in-fact

02/18/2015

**Signature of Reporting Person

Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This field is not applicable.
- This reflects the surrender of shares to OM Group, Inc. to pay taxes applicable to the vesting of restricted stock units in accordance with
- (2) the applicable long-term incentive plan previously approved by the stockholders of OM Group, Inc. and the related agreement previously approved by the Compensation Committee of the Board of Directors of OM Group, Inc.
- Settlement of dividend equivalent rights in connection with the vesting of restricted stock units. The rights accrued when and as dividends (3) were paid on OM Group, Inc. Common Stock and vested with the restricted stock units to which they are related. Each dividend equivalent right is the economic equivalent of one share of OM Group Common Stock.
- (4) The dividend equivalent rights accrued on restricted stock units and vest proportionately with the restricted stock units to which they relate. Each dividend equivalent right is the economic equivalent of one share of OM Group, Inc. Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.