

HOME BANCSHARES INC  
Form 4  
December 09, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Ashley Richard H

2. Issuer Name and Ticker or Trading Symbol  
HOME BANCSHARES INC  
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
12/05/2014

Director  10% Owner  
 Officer (give title below)  Other (specify below)

P.O. BOX 966

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

CONWAY, AR 72033

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |                              |
|---------------------------------|--------------------------------------|----------------------------------------------------|---------------------|---|-------------------------------------------------------------------|------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------|------------------------------|
|                                 |                                      |                                                    | Code                | V | Amount                                                            | (A) or (D) |                                                                                               |                                                          |                                   | Price                        |
| Common Stock                    | 12/05/2014                           |                                                    | S                   |   | 32,100                                                            | D          | \$ 32.047                                                                                     | 1,102,518                                                | I                                 | By RH Ashley Investments LLC |
| Common Stock                    | 12/08/2014                           |                                                    | S                   |   | 42,014                                                            | D          | \$ 31.9127                                                                                    | 1,060,504                                                | I                                 | By RH Ashley Investments LLC |
| Common Stock                    | 12/09/2014                           |                                                    | S                   |   | 5,886                                                             | D          | \$ 31.3012                                                                                    | 1,054,618                                                | I                                 | By RH Ashley Investments LLC |

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|                           |                                                   |   |                             |
|---------------------------|---------------------------------------------------|---|-----------------------------|
| Common Stock              | 3,700.603 <sup>(6)</sup>                          | I | By Wife IRA                 |
| Common Stock              | 1,234,480                                         | I | By Conservative Development |
| Common Stock              | 12,834.8                                          | I | By Wife                     |
| Common Stock              | 7,422 <sup>(4)</sup>                              | I | By IRA                      |
| Common Stock              | 544                                               | I | Custodian for children      |
| Common Stock              | 1,750                                             | I | By Square Associates LLC    |
| Common Stock - Restricted | 1,333 <sup>(2)</sup> <sup>(3)</sup><br><u>(5)</u> | D |                             |
| Common Stock              | 63,967.9952<br><u>(5)</u>                         | D |                             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Underlying Securities (Instr. 3 and 4) |                            |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|----------------------------------------------------|----------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable                                         | Expiration Date                                               | Title                                              | Amount or Number of Shares |
| Common Stock                               | \$ 8.6                                                 |                                      |                                                    |                                |                                                                                         | <u>(1)</u>                                               | 01/09/2018                                                    | Common Stock                                       | 2,376                      |

## Reporting Owners

| Reporting Owner Name / Address                       | Relationships |           |         |       |
|------------------------------------------------------|---------------|-----------|---------|-------|
|                                                      | Director      | 10% Owner | Officer | Other |
| Ashley Richard H<br>P.O. BOX 966<br>CONWAY, AR 72033 |               | X         |         |       |

## Signatures

/s/Richard H. Ashley by Rachel  
Wesson

12/09/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The option became exercisable in five equal annual installments beginning on January 10, 2009.
- (2) Restricted Stock granted on April 21, 2011 will vest in 33 1/3% installments over three years each April 21st.
- (3) Restricted Stock granted on January 18, 2013 will vest in 33 1/3% installments over three years each January 18th.
- (4) Includes 31 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (5) The reporting person had 1,333 shares vest since the last filing.
- (6) Includes 32.603 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.