SCYNEXIS INC Form 3

May 02, 2014

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

F.C.P.R. Genavent

(Last)

47 AVENUE DE L'OPERA

(First) (Middle) 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

05/02/2014

4. Relationship of Reporting

SCYNEXIS INC [SCYX]

Person(s) to Issuer

(Check all applicable)

\_X\_\_ 10% Owner Director Officer Other (give title below) (specify below)

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

6. Individual or Joint/Group

5. If Amendment, Date Original

Form filed by More than One

Filed(Month/Day/Year)

Reporting Person

PARIS CEDEX 07, 10Â 75002

(Street)

(City) (State)

1. Title of Security

(Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

Security

Ownership Form of Derivative Derivative Security:

5.

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Expiration Exercisable Date

Amount or Title Number of Shares

Direct (D) or Indirect

(I) (Instr. 5)

1

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Common Stock Warrant (right to buy)	12/07/2011	12/06/2016	Common Stock	5,251	\$ 0.2	D	Â
Common Stock Warrant (right to buy)	05/15/2012	05/14/2017	Common Stock	5,251	\$ 0.2	D	Â
Common Stock Warrant (right to buy)	12/11/2013	12/10/2018	Common Stock	3,501	\$ 0.2	D	Â
Series B Preferred Stock	08/25/2000	(1)	Common Stock	53,112 (1)	\$ <u>(1)</u>	D	Â
Series C Preferred Stock	06/19/2002	(2)	Common Stock	96,921 (1)	\$ (2)	D	Â
Series D-1 Preferred Stock	12/11/2013	(3)	Common Stock	46,824 (1)	\$ (3)	D	Â
Series D-2 Preferred Stock	12/11/2013	(4)	Common Stock	16,738 (1)	\$ <u>(4)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>FB</b>	Director	10% Owner	Officer	Othe		
F.C.P.R. Genavent 47 AVENUE DE L'OPERA PARIS CEDEX 07, I0 75002	Â	ÂX	Â	Â		

## **Signatures**

By: /s/ STANISLAS CUNY,
Manager
05/02/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each of the Series B Preferred Stock is convertible into Common Stock of the Issuer on a 1-for-3.536 basis at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.
- (2) Each of the Series C Preferred Stock is convertible into Common Stock of the Issuer on a 1-for-3.536 basis at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.
- (3) Each of the Series D-1 Preferred Stock is convertible into Common Stock of the Issuer on a 1-for-20.4 basis at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.
- Each of the Series D-2 Preferred Stock is convertible into Common Stock of the Issuer on a 1-for-20.4 basis at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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