Edgar Filing: Pendrell Corp - Form 4

| Pendrell Corp Form 4 | | | | | | | | | | | |
|--|---------------------------------|----------------|--|--|---|--|--|---|-------------------------|---|--|
| April 11, 2014 | | | | | | | | OMB A | PPROVAL | _ | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | 3235-0287 | | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section | | | | SECU | RITIES | Estimated burden hou response | stimated average urden hours per | | | | |
| obligations may continue. <i>See</i> Instruction 1(b). | I | | | | | npany Act ny Act of 1 | of 1935 or Secti 940 | on | | | |
| (Print or Type Respo | nses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> MIKLES LEE E | | | 2. Issuer Name and Ticker or Trading Symbol Pendrell Corp [PCO] | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
| (Last) | (First) (1 | Middle) | 3. Date of Earliest Transaction | | | | (Check all applicable) | | | | |
| 2300 CARILLON POINT | | | (Month/Day/Year) 04/10/2014 | | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | | | |
| | | | | Filed(Month/Day/Year) Applica _X_For For | | | Applicable Line) _X_ Form filed by | al or Joint/Group Filing(Check Line) iled by One Reporting Person led by More than One Reporting | | | |
| | (State) | (Zip) | | | | | Person | | | | |
| 1.Title of 2. Tra | ansaction Date hth/Day/Year) | | ed Date, if | 3. Transactio Code (Instr. 8) Code V | 4. Securit nAcquired Disposed (Instr. 3, 4 | ies (A) or of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | of, or Beneficia 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature o Indirect | | |
| Reminder: Report on | n a separate line | e for each cla | ass of sec | urities bene | ficially ow | ned directly of | or indirectly. | | | | |
| | | | | | inforn requir | nation cont red to response ays a current | spond to the colle ained in this forn ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount o |
|-------------|-------------|---------------------|--------------------|------------|--------------|-------------------------|-----------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | orDerivative | Expiration Date | Underlying Securities |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Acquired (A) | | |

| | Derivative Security | | or Disposed (D) (Instr. 3, 4, and 5) | Instr. 3, 4, | | | | | | |
|--------------------------------------|------------------------|------------|---|--------------|--------|-----|-----------------------|--------------------|-------------------|------------------------------------|
| | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Share |
| Stock Option (Right to Buy) | \$ 1.76 | 04/10/2014 | А | | 75,000 | | 04/10/2015 <u>(1)</u> | 04/10/2024 | Class A Common | 75,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| MIKLES LEE E 2300 CARILLON POINT KIRKLAND, WA 98033 | Х | | | | | | |
| Signatures | | | | | | | |
| /s/ Timothy M. Dozois, attorney-in-fact | | 04/11/2 | 014 | | | | |
| **Signature of Reporting Person | | Date | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options will vest and become exercisable in four equal annual installments beginning with the date the options were granted, with the result that the options become fully vested following four years of service on the Board.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.