Edgar Filing: HERCULES TECHNOLOGY GROWTH CAPITAL INC - Form 4

HERCULES Form 4	TECHNOLOC	GY GROW	TH CAP	ITAL ING	2						
March 31, 20)14										
FORM	S SECUR	RITIES AND EXCHANGE CO				OMMISSION	OMB APPROVAL				
Check the		Washington, D.C. 20549					Number:	3235-0287			
if no long subject to Section 1 Form 4 o	6. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires: January 3 200 Estimated average burden hours per		
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							response	0.5			
(Print or Type I	Responses)										
Baron Jessica T Symbo			Symbol	Issuer Name and Ticker or Trading nbol ERCULES TECHNOLOGY				5. Relationship of Reporting Person(s) to Issuer			
			GROWTH CAPITAL INC [HTGC]					(Check all applicable)			
(Last)(First)(Middle)3. Date or (Month/E)C/O HERCULES TECHNOLOGY03/27/2GROWTH CAPITAL,, 40003/27/2HAMILTON AVE., SUITE 310				-				Director 10% Owner X Officer (give title Other (specify below) below) CFO			
Filed(Mon				endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
PALO ALT	O,, CA 94301							Person		porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	r) Executio any	med on Date, if Day/Year)	3. Transactic Code (Instr. 8)	on(A) or Di (Instr. 3,	(A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	03/27/2014			Code V F	Amount 192 <u>(1)</u>	(D) D	Price \$ 14.52	105,158	D		
Common Stock	03/30/2014			F	96 <u>(2)</u>	D	\$ 14.47	105,062	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Baron Jessica T C/O HERCULES TECHNOLOGY GROWTH CAPITAL, 400 HAMILTON AVE., SUITE 310 PALO ALTO,, CA 94301			CFO			
Signatures						
/s/ Michael Penney, Attorney-in-Fact for Jessica Baron)14				
<u>**Signature of Reporting Person</u>	Date					
Evaluation of Decanonace						

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on March 27, 2014.

(2) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on March 30, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.