HERCULES TECHNOLOGY GROWTH CAPITAL INC

Form 5 F

ebruary 07,	2014											
FORN	5								OMB A	PPROVAL		
••••	-	STATES S					GE CO	OMMISSION	OMB Number:	3235-0362		
Check this no longer		Washington, D.C. 20549								January 31, 2005		
to Section Form 4 or 5 obligation may contin	16. Form ANN ns nue.	ANNUAL STATEMENT OF CHANGES IN BENEFICI OWNERSHIP OF SECURITIES						FICIAL	Estimated average burden hours per response			
See Instruct 1(b). Form 3 Ho Reported Form 4 Transactio Reported	Filed put Filed put	(a) of the Pu	blic Ut		g Compa	ny A	ct of		on			
I. Name and A Bluestein Sc	ddress of Reporting	S H	ymbol IERCU	Name and Tick LES TECH FH CAPITA	NOLOG	Y]	5. Relationship o Issuer (Cheo	f Reporting Pers			
				nent for Issuer's Fiscal Year Ended Day/Year) 2013			-	Director10% Owner XOfficer (give titleOther (specify below) below) Chief Credit Officer				
GROWTH	JLES TECHNO CAPITAL,, 31 ENUE, SUITE 7	ST.						Chic				
				endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Reporting				
								(cneo	ck applicable line)		
BOSTON,Â	MAÂ 02116						-	_X_ Form Filed by Form Filed by Person	One Reporting P More than One R			
(City)	(State)	(Zip)	Table	e I - Non-Deri	vative Sec	uritie	s Acqu	ired, Disposed o	f, or Beneficial	ly Owned		
I.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		Date, if	3. Transaction Code (Instr. 8)	Disposed	(A) or osed of (D) (A) or		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	12/31/2013	Â		А	2,053 (1)	A	\$ <u>(1)</u>	138,453	D	Â		
Reminder: Rep	ort on a separate line	e for each class	s of	Persons wh	o respon	d to t	he co	llection of info	rmation	SEC 2270		

R securities beneficially owned directly or indirectly. contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. of D S B O E I S F i Is (I
				(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Bluestein Scott C/O HERCULES TECHNOLOGY GROWTH CAPITAL, 31 ST. JAMES AVENUE, SUITE 790 BOSTON, MA 02116	Â	Â	Chief Credit Officer	Â		
Signatures						
/s/Michael Penney, Attorney in fact for Scott Bluestein	02/07/20	14				
**Signature of Reporting Person	Date					
Explanation of Paananaaa						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares acquired through the Hercules Technology Growth Capital, Inc. Dividend Reinvestment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.