SYNAPTICS INC Form 4

January 06, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

**OMB APPROVAL** 

3235-0287

Expires:

5 Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2 Januar Nama and Tielzer or Tradina

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

01/02/2014

Stock

Stock

Common

KNITTEL RUSSELL J				Symbol SYNAPTICS INC [SYNA]					Issuer			
	(Last)	(First)	(Middle)	3. Date of Earliest Transaction			(Check all applicable)					
1251 MCKAY DRIVE			(Month/Day/Year) 01/02/2014					_X_ Director 10% Owner Officer (give title below) Other (specify below)				
					4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SAN JOSE, CA 95131									Form filed by More than One Reporting Person			
	(City)	(State)	(Zip)	Tabl	le I - Non-l	Derivative S	Securi	ities Acqu	ired, Disposed of	f, or Beneficial	ly Owned	
	1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any		3. Transactic Code (Instr. 8)	4. Securit or(A) or Dis (Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Common Stock	01/02/2014			M	8,126	A	\$ 25.5	10,626	D		
	Common Stock	01/02/2014			M	6,000	A	\$ 23.16	16,626	D		
	Common	01/02/2014			<b>c</b> (1)	14 126	D	\$	2 500	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $S^{(1)}$ 

14,126 D

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

2,500

9,096

D

Ι

By Trust

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Transaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 25.5	01/02/2014		M	8,126	(3)	08/03/2019	Common Stock	8,126	
Employee Stock Option (Right to Buy)	\$ 23.16	01/02/2014		M	6,000	<u>(4)</u>	10/31/2019	Common Stock	6,000	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
KNITTEL RUSSELL J 1251 MCKAY DRIVE SAN JOSE, CA 95131	X						

## **Signatures**

Kermit Nolan, as attorney-in-fact 01/03/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were sold pursuant to a 10b5-1 Sales Plan dated November 26, 2013.

Reporting Owners 2

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- (2) The shares are held by Russell J. Knittel and Veronica Knittel as Co-Trustees of The Knittel Revocable Living Trust.
  - 25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the July 27,
- (3) 2009 vesting commencement date, and 1/48th of the total number of shares subject to the option vested and became exercisable on the 27th day of each month thereafter.
- (4) 1/12th of the total number of shares subject to the option vested and became exercisable on the last day of each month following the date of grant until fully vested on October 31, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.