

TANDEM DIABETES CARE INC
Form 3
November 13, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Cajigas John</p> <p>(Last) (First) (Middle)</p> <p>C/O TANDEM DIABETES CARE, INC.,Â 11045 ROSELLE STREET</p> <p>(Street)</p> <p>SAN DIEGO,Â CAÂ 92121</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>11/13/2013</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>TANDEM DIABETES CARE INC [TNDM]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) CHIEF FINANCIAL OFFICER</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	14,323	I	See footnote ⁽¹⁾
Common Stock ⁽²⁾	8,952	I	See footnote ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Series B Preferred Stock	Â (3)	Â (4)	Common Stock	2,585	\$ 0	I	See footnote (1)
Series C Preferred Stock	Â (3)	Â (4)	Common Stock	2,236	\$ 0	I	See footnote (1)
Series D Preferred Stock	Â (3)	Â (4)	Common Stock	13,367	\$ 0	I	See footnote (1)
Preferred Stock Warrant	Â (5)	08/31/2021	Series D Preferred Stock (6)	1,416 (6)	\$ 4.4 (6)	I	See footnote (1)
Preferred Stock Warrant	Â (5)	05/25/2022	Series D Preferred Stock (7)	3,636 (7)	\$ 4.4 (7)	I	See footnote (1)
Preferred Stock Warrant	Â (5)	08/21/2022	Series D Preferred Stock (8)	3,710 (8)	\$ 4.4 (8)	I	See footnote (1)
Incentive Stock Option (9)	Â (10)	Â (11)	Common Stock	119,360	\$ 1.11	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Cajigas John C/O TANDEM DIABETES CARE, INC., 11045 ROSELLE STREET SAN DIEGO, CA 92121	Â	Â	Â CHIEF FINANCIAL OFFICER	Â

Signatures

/s/ David B. Berger, Attorney-in-Fact for John Cajigas 11/13/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The securities are held by the John Cajigas and Mary E. Cajigas Family Trust, dated 8/11/2005.
Restricted stock issued pursuant to the Issuer's Tandem Diabetes Care, Inc. 2006 Stock Incentive Plan. These shares vested as to 25% on 10/20/2012 and continue to vest in 36 equal monthly installments thereafter, and will automatically vest in full upon consummation of the Issuer's initial public offering.
- (3) The securities are immediately convertible.

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- (4) The expiration date is not relevant to the conversion of these securities.
- (5) The warrants are immediately exercisable.
- (6) Upon the consummation of the Issuer's initial public offering, these warrants will automatically become exercisable for 845 shares of the Issuer's common stock at an exercise price of \$7.37 per share.
- (7) Upon the consummation of the Issuer's initial public offering, these warrants will automatically become exercisable for 2,169 shares of the Issuer's common stock at an exercise price of \$7.37 per share.
- (8) Upon the consummation of the Issuer's initial public offering, these warrants will automatically become exercisable for 2,214 shares of the Issuer's common stock at an exercise price of \$7.37 per share.
- (9) Granted pursuant to the Issuer's Tandem Diabetes Care, Inc. 2006 Stock Incentive Plan.
- (10) The date of grant of the option was 4/23/2013. All shares subject to the option will vest in 24 equal monthly installments commencing on 4/23/2013.
- (11) The expiration date for these options is 10 years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.