

HOME BANCSHARES INC
Form 4
November 13, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ALLISON JOHN W

2. Issuer Name and Ticker or Trading Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
P.O. BOX 966
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/12/2013

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman

CONWAY, AR 72033

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	11/12/2013		M		2,851 A \$ 3.08	3,737,409	D
Common Stock	11/12/2013		M		712 A \$ 3.5	3,738,121	D
Common Stock	11/12/2013		M		712 A \$ 3.92	3,738,833	D
Common Stock	11/12/2013		M		712 A \$ 4.21	3,739,545	D
Common Stock	11/12/2013		M		2,879 A \$ 4.78	3,742,424	D
						33,664	I

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Common Stock						By Capital Buyers
Common Stock - Restricted Stock		4,428	<u>(11)</u> <u>(12)</u>	D		
Common Stock		8,136		I		By IRA
Common Stock		427,680		I		By wife
Common Stock		383,335.2317	<u>(13)</u>	I		By son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number Shares
Stock Option	\$ 3.08	11/13/2013		M	2,851	<u>(2)</u> <u>(2)</u>	Common Stock 2,851
Stock Option	\$ 3.5	11/13/2013		M	712	<u>(3)</u> <u>(3)</u>	Common Stock 712
Stock Option	\$ 3.92	11/13/2013		M	712	<u>(4)</u> <u>(4)</u>	Common Stock 712
Stock Option	\$ 4.21	11/13/2013		M	712	<u>(5)</u> <u>(5)</u>	Common Stock 712
Stock Option	\$ 4.78	11/13/2013		M	2,879	<u>(8)</u> <u>(8)</u>	Common Stock 2,879
Stock Option	\$ 4.92					<u>(6)</u> <u>(6)</u>	Common Stock 3,566

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- (8) 3,456 options (split adjusted) have an expiration date of December 31, 2014. The remaining 13,820 options (split adjusted) vested on January 1, 2005 with an expiration date of January 1, 2015.
- (9) The option became exercisable in two equal annual installments beginning on January 19, 2008.
- (10) The option became exercisable in five equal annual installments beginning on January 10, 2009.
- (11) Restricted Stock granted on January 18, 2013 will vest in 33 1/3% installments over three years each January 18th.
- (12) Restricted Stock granted on February 2, 2011 will vest in 33 1/3% installments over three years each February 2nd.
- (13) Includes 828.320335 shares acquired by the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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