

MAXIM INTEGRATED PRODUCTS INC
Form 4
September 05, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Caron David

2. Issuer Name and Ticker or Trading Symbol
MAXIM INTEGRATED PRODUCTS INC [MXIM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
160 RIO ROBLES
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/03/2013

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
VP and Principal Acct Officer

SAN JOSE, CA 95134

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount or Price					
Common Stock	09/03/2013		A		5,440 (1)	A	\$ 0	16,633 (2)	D	
Common Stock	09/03/2013		S		3,217	D	\$ 28.3244 (3)	13,416 (2)	D	
Common Stock	09/03/2013		M		3,240	A	\$ 18.11	16,656 (2)	D	
Common Stock	09/03/2013		S		3,240	D	\$ 28.3244 (3)	13,416 (2)	D	
	09/03/2013		M		2,565	A	\$ 16.58	15,981 (2)	D	

Common
Stock

Common Stock	09/03/2013		S	2,565	D	\$ 28.3244	13,416 ⁽²⁾	D
						<u>(3)</u>		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 28.16	09/03/2013		A	22,500	<u>(4)</u> 09/03/2020		Common Stock
Non-Qualified Stock Option (right to buy)	\$ 18.11	09/03/2013		M	3,240	06/30/2013 ⁽⁵⁾ 12/01/2016		Common Stock
Non-Qualified Stock Option (right to buy)	\$ 16.58	09/03/2013		M	2,565	08/15/2013 ⁽⁵⁾ 09/07/2017		Common Stock

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Caron David
160 RIO ROBLES
SAN JOSE, CA 95134

VP and Principal Acct Officer

Signatures

BY MARK CASPER FOR DAVID
CARON

09/05/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents Restricted Stock Units that vest over four quarters with the first vesting on February 15, 2015, subject to the individual's continuous status as an employee through such dates.
- (2) Represents unvested Restricted Stock Units and Common Stock.
- (3) Weighted average with prices ranging from \$28.32 to \$28.335.
- (4) Non-Qualified Stock Options that vest over four quarters with the first vesting on February 15, 2017, subject to the individual's continuous status as an employee through such dates.
- (5) Date when shares became fully exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.