Edgar Filing: CARRIAGE SERVICES INC - Form 4

Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Number: 20 20 20 20 20 20 20 20 20 20 20 20 20	CARRIAGE Form 4 October 07, 2	SERVICES IN 2009	NC									
OMM = 3235-02 Washington, D.C. 20549 Washington, D.C. 20549 Section 16. Section 16. Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 1/(b). (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) -X_Director 3040 POST OAK BOULEVARD, 10/06/2008 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) -X_Porn filed by More than One Reporting Person	FORM										PPROVAL	
if no longer subject to Section 16. SECURITIES Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Month/Day/Year) 30(h) of the Investment Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2. Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2. Section 17(a) of the Investment Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2. (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)		UNITE	D STATES					NGE (COMMISSION	OIIID	3235-0287	
and totget STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Etimated average burden hours per response Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1935, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section any continue. Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 1 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 5. Relationship of Reporting Person(s) to Issuer 3040 POST OAK BOULEVARD, STE.300 10/06/2008 — Director below) — Officer (give title below) — 10% Owner (Month/Day/Year) HOUSTON, TX 77056 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) X. Form filed by One Reporting Person — Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership Person (City) (State) (Zip) Table		er								Expires:	January 31,	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting Person 2 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Print or Type Responses) Symbol (Check all applicable) (Last) (First) Middle) 3. Date of Earliest Transaction (Month/Day/Year) -XDirector officer (give title0) Other (specify below) 3040 POST OAK BOULEVARD, STE.300 10/06/2008 -XDirector officer (give title0) Other (specify below) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) -X Form filed by One Reporting Person Form filed by One Reporting Person Form filed by One Reporting Person 	subject to Section 10							Estimated a burden hou				
obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting Person ⁺ 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) -X			oursuant to S	Section 1	6(a) of the	e Securiti	ies Er	xchano	re Act of 1934	response	0.5	
1. Name and Address of Reporting Person * FORBES GARY L 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 5. Relationship of Reporting Person(s) to Issuer 3040 POST OAK BOULEVARD, STE.300 3. Date of Earliest Transaction (Month/Day/Year) -X_Director below) -10% Owner -10% Owner 0040 POST OAK BOULEVARD, STE.300 0. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) -X_Form filed by One Reporting Person Form filed by One Reporting Person Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired Security (Instr. 3) 5. Amount of any (Month/Day/Year) 6. Ownership Transaction(A) or Disposed of any (Month/Day/Year) 5. Amount of Any (Month/Day/Year) 6. Ownership Transaction(A) or Disposed of Code 5. Amount of Code 6. Ownership Form: Direct Indirect (I) Owner Beneficially (D) or Beneficially (D) or	may conti See Instru	nue. Section 1	7(a) of the	Public Ut	ility Hold	ling Com	ipany	Act of	f 1935 or Sectio	'n		
FORBES GARY L Symbol Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) 3040 POST OAK BOULEVARD, STE.300 (Month/Day/Year) XDirector 00fficer (give title) 10% Owner 00ther (specify below) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) X Form filed by One Reporting Person	(Print or Type R	esponses)										
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3040 POST OAK BOULEVARD, STE.300 (Month/Day/Year) 10/06/2008 -X_Director below) 10% Owner 									(Chec	ck all applicable	e)	
3040 POST OAK BOULEVARD, STE.300 10/06/2008 Image: Construction of the product o	(Last)	(First)	(Middle)			ansaction			V Dimeter	100	0	
Filed(Month/Day/Year) Applicable Line) J.Title of Security (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature or Indirect Month/Day/Year) Execution Date, if any 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature or Indirect Month/Day/Year) Indirect (I) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4)		OAK BOULE	VARD,		-				Officer (give	title Othe		
Filed(Month/Day/Year) Applicable Line) .X_Form filed by One Reporting Person .State (Zip) Table I - Non-Derivative Securities Acquired, Disposed of Securited, Disposed of Securited, Disposed of Securited 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature or Security (Month/Day/Year) Execution Date, if any Code (D) Beneficially (D) or Beneficially Downership (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership (A) Reported (Instr. 4) (Instr. 4) Securities Secur		(Street)		4. If Ame	ndment, Da	te Original			6. Individual or Jo	oint/Group Filir	1g(Check	
HOUSTON, TX 77056 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security (Instr. 3) 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 4) (Instr. 4) (A) Reported				Filed(Mon	th/Day/Year))			••			
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Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (Instr. 3) Code (D) Execution (A) or Disposed of (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (Instr. 3, 4 and 5) (Instr. 4) (Instr.	(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securi	ties Acc	quired, Disposed of	f, or Beneficial	ly Owned	
or (Instr. 3 and 4)	Security		ar) Executio any	on Date, if	Transactio Code (Instr. 8)	on(A) or Di (D) (Instr. 3,	spose 4 and (A) or	d of 5)	Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership	
$\begin{array}{c} \text{Common} \\ \text{stock} \end{array} \begin{array}{c} \text{Code V Amount (D)} & \text{Price} \end{array} \begin{array}{c} (\text{insur V and V}) \\ \text{P} & 1,635 & \text{A} \end{array} \begin{array}{c} \$ \\ 3.74 \end{array} \begin{array}{c} 33,200 & \text{D} \end{array}$		10/06/2008						\$	33,200	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Nan	Relationships						
	Director	10% Owner	Officer	Other			
FORBES GARY L 3040 POST OAK BOULE HOUSTON, TX 77056	VARD, STE.300	Х					
Signatures							
/s/ Gary L. Forbes	10/07/2008						

**Signature of Reporting Person Date

Explanation of Responses:

If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.