

OKARMA JEROME D
Form 4
January 30, 2007

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
OKARMA JEROME D

(Last) (First) (Middle)

5757 N. GREEN BAY AVENUE, P.O. BOX 591

(Street)

MILWAUKEE, WI 53201-0591

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction (Month/Day/Year)
01/26/2007

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
VP, Secretary & Gen Counsel

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	Amount				(A) or (D)
Common Stock	12/21/2006		G	V	115	D	\$ 0	20,024	D	
Common Stock	01/26/2007		M		16,000	A	\$ 28.4219	36,024	D	
Common Stock	01/26/2007		S		5,000	D	\$ 92	31,024	D	
Common Stock	01/26/2007		S		400	D	\$ 92.02	30,624	D	
Common Stock	01/26/2007		S		200	D	\$ 92.04	30,424	D	

Edgar Filing: OKARMA JEROME D - Form 4

Common Stock	01/26/2007	S	200	D	\$ 92.05	30,224	D	
Common Stock	01/26/2007	S	2,600	D	\$ 92.1	27,624	D	
Common Stock	01/26/2007	S	5,065	D	\$ 92.2	22,559	D	
Common Stock	01/26/2007	S	35	D	\$ 92.21	22,524	D	
Common Stock	01/26/2007	S	2,500	D	\$ 92.3	20,024	D	
Common Stock	01/29/2007	M	800	A	\$ 40.115	20,824	D	
Common Stock	01/29/2007	S	800	D	\$ 92	20,024 ⁽¹⁾	D	
Common Stock						10,908.859 ₍₂₎	I	By 401(k) Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Phantom Stock Units/Excess 401(k) Plan	<u>(3)</u>					<u>(4)</u>	<u>(4)</u>	Common Stock
Phantom Stock Units/Restricted Stock Grant	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Common Stock
Stock Option	\$ 28.4219	01/26/2007		M	16,000	11/15/2002	11/15/2010	Common Stock

Edgar Filing: OKARMA JEROME D - Form 4

- (7) Represents 58,274 phantom stock units acquired through the reinvestment of dividends on January 3, 2007, at a price of \$85.88 per phantom stock unit.
- (8) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.