

JOHNSON CONTROLS INC  
Form 4/A  
May 01, 2008

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BARTH JOHN M

(Last) (First) (Middle)

5757 N. GREEN BAY AVENUE, P.O. BOX 591

(Street)

MILWAUKEE, WI 53201

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction (Month/Day/Year)

02/12/2008

4. If Amendment, Date Original Filed(Month/Day/Year)

02/14/2008

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | (A) or (D)<br>Code V Amount (D) Price                             |   |  |   |
| Common Stock                    |                                      |  |                                |   | 753,288 <sup>(1)</sup>  | I  | By Barth Family Community Property Trust                        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)       | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title Underlying (Instr. 3) |            |
|--|--|--------------------------------------|--|--------------------------------|---|--|--------------------------------|------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date                | Title      |
| Phantom Stock Units/Restricted Stock Grant       | (2)  |                                      |  |                                |   | (3)  | (3)                            | Comm Stock |
| Phantom Stock Units/Excess Benefit Plan - Common | (4)  | 02/12/2008                           |  | I                              | 10,702.363  | (5)  | (5)                            | Comm Stock |
| Stock Option                                     | \$ 13.4325   |                                      |  |                                |   | 11/20/2004   | 11/20/2012                     | Comm Stock |
| Stock Option                                     | \$ 17.5167   |                                      |  |                                |   | 11/19/2005   | 11/19/2013                     | Comm Stock |
| Stock Option                                     | \$ 20.5633   |                                      |  |                                |   | 11/17/2006   | 11/17/2014                     | Comm Stock |
| Stock Option                                     | \$ 22.5617   |                                      |  |                                |   | 11/16/2007(6)  | 11/16/2015                     | Comm Stock |
| Stock Option                                     | \$ 23.965  |                                      |  |                                |   | 10/02/2008(6)  | 10/02/2016                     | Comm Stock |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| BARTH JOHN M<br>5757 N. GREEN BAY AVENUE<br>P.O. BOX 591<br>MILWAUKEE, WI 53201 |               |           | X       |       |

## Signatures

Arlene D. Gumm, Attorney-in-fact for John M. Barth  
 Date: 05/01/2008

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Filing amended to include the correct the number of securities beneficially owned. Reporting person owned 753,288 shares as of January 23, 2008 (filed on a Form 4 on January 24, 2008). The number originally reported on the February 12, 2008, filing inadvertently reported the pre-January 2008 holdings of 750,987. All other information is correct as filed on the original document.

(2) Each unit of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Units of vested phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time. Vesting continues in retirement.

(3) The phantom stock units were accrued under the Johnson Controls Restricted Stock Deferral Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.

(4) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time. The plan mirrors the company's broad-based 401(k) Plan and includes a Company match on February 11, 2008.

(5) The phantom stock units were accrued under the Johnson Controls Equalization 401 (k) Benefit Plan and are to be settled 100% in cash upon the reporting person's retirement and may be transferred by the reporting person into an alternative investment account at any time.

(6) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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