### Edgar Filing: DE GEUS AART - Form 4

DE GEUS Form 4 December											
									OMB APPROVAL		
UNITED STATES SECURITIES A Washington								OMMISSION	OMB Number:	3235-0287	
if no lo subject Section Form 4 Form 5 obligat may co	to 16. or Filed pu <sup>ions</sup> Section 17		F CHA Section Public I	NGES I SECU 16(a) of	Lanuary 31Expires:2005Estimated averageburden hours perresponse0.5						
(Print or Type	e Responses)										
DE GEUS AART Symb					and Ticker o		ling	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)			t Transactio	-		(Checl	ck all applicable)		
690 EAST	/Day/Year 2018	)			X Director 10% Owner X Officer (give title Other (specify below) below) Chairman of the Board & Co-CEO						
	(Street)	042		nendment, Ionth/Day/Y	Date Origin 'ear)	nal		6. Individual or Jo Applicable Line) _X_ Form filed by C Form filed by M	One Reporting I	Person	
	AIN VIEW, CA 94							Person			
(City)	(State)	(Zip)					-	uired, Disposed of		•	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transacti Code (Instr. 8) Code V	ionor Dispos (Instr. 3, 4	ed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock	12/08/2018			М	7,365	А	\$0	23,757	D		
Common Stock	12/08/2018			F	2,547 (1)	D	\$ 87.82	21,210	D		
Common Stock	12/08/2018			М	9,673	А	\$0	30,883	D		
Common Stock	12/08/2018			F	4,193 (1)	D	\$ 87.82	26,690	D		
Common Stock	12/08/2018			М	7,661	А	\$ 0	34,351	D		

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Common Stock	12/08/2018	F	3,799 (1)	D	\$ 87.82	30,552	D	
Common Stock	12/07/2018	S <u>(2)</u>	3,801	D	\$ 89.5535 ( <u>3)</u>	204,484	I	by Family Trust
Common Stock	12/10/2018	S <u>(2)</u>	16,199	D	\$ 89.284 (4)	188,285	I	by Family Trust
Common Stock	12/07/2018	S <u>(2)</u>	6,000	D	\$ 89.5255 ( <u>3)</u>	295,551	I	by Separate Prop Tr
Common Stock	12/10/2018	S <u>(2)</u>	24,000	D	\$ 89.29 (5)	271,551	Ι	by Separate Prop Tr
Common Stock						14,500	I	by Partnership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)			rivative Expiration Date (Month/Day/Year) ired r osed of 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0	12/08/2018		D		7,365	12/08/2018	12/08/2018	Common Stock	7,365
Restricted Stock Units	\$ 0	12/08/2018		D		9,673	12/08/2018	12/08/2019	Common Stock	9,673
Restricted Stock Units	\$ 0	12/08/2018		D		7,661	12/08/2018	12/08/2020	Common Stock	7,661

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
r g the state	Director	10% Owner	Officer	Other			
DE GEUS AART 690 EAST MIDDLEFIELD ROAD MOUNTAIN VIEW, CA 94043	Х		Chairman of the Board & Co-CEO				
Signatures							
By: POA pursuant Christina Escalan Geus	12/11/2018						
**Signature of Reporting F	Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares were retained by the Company in order to meet the tax withholding obligations of the award holder in connection with the (1) vesting of an installment of the performance restricted stock unit award. The Compensation Committee approved the disposition of shares by the award holder and the amount retained by the Company was not in excess of the amount of the tax liability.

(2) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan.

Represents a weighted average sale price per share. These shares were sold in multiple transactions at prices ranging from \$89.00 to 90.37. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange

(3) Solution in the resolution of the Issuer, upon request, full information regarding the number of shares purchased at each separate price within the range.

Represents a weighted average sale price per share. These shares were sold in multiple transactions at prices ranging from \$89.00 to 89.70. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange

(4) Commission or any security holder of the Issuer, upon request, full information regarding the number of shares purchased at each separate price within the range.

Represents a weighted average sale price per share. These shares were sold in multiple transactions at prices ranging from \$89.00 to 89.71. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange

(5) Commission or any security holder of the Issuer, upon request, full information regarding the number of shares purchased at each separate price within the range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.