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PATRIOT NATIONAL BANCORP INC Form 8-K March 30, 2017

### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event Reported): March 30, 2017

# PATRIOT NATIONAL BANCORP, INC.

(Exact Name of Registrant as Specified in Charter)

Connecticut (State or Other Jurisdiction

**000-29599** (Commission

06-1559137 (I.R.S. Employer

of Incorporation)

File Number)

**Identification Number**)

900 Bedford Street, Stamford, Connecticut 06901

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(Address of Principal Executive Offices) (Zip Code)

(203) 324-7500

(Registrant s telephone number, including area code)

### Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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## Section 7 Regulation FD

## Item 7.01. Regulation FD Disclosure

On March 30, 2017, Patriot National Bancorp, Inc. (Patriot or the Company) issued a press release, attached hereto as Exhibit 99.1, regarding a material payment made by its fidelity insurer on March 29, 2017 in connection with a claim of loss submitted by the Company s bank subsidiary, Patriot Bank N.A. (the Bank).

The payment is in connection with the material charge to the Bank s loan loss reserve disclosed in a Current Report on Form 8-K filed by the Company on September 19, 2016 and is considered a successful recovery action sought by the Company, and will be recognized as a gain for the quarter ending March 31, 2017.

The information contained herein and the exhibit attached herewith shall be deemed furnished and not filed.

#### ITEM 9.01. Financial Statements and Exhibits.

(d) Exhibits.

## **Exhibit No.** Description

99.1 Press Release of Patriot National Bancorp, Inc., dated March 30, 2017

#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### PATRIOT NATIONAL BANCORP, INC.

Date: March 30, 2017 By: /s/ Neil McDonnell

Name: Neil McDonnell

Title: Executive Vice President & Chief Financial

Officer