

PRUDENTIAL PLC  
Form 6-K  
March 31, 2011

# **SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

## **FORM 6-K**

### **REPORT OF FOREIGN PRIVATE ISSUER**

**Pursuant to Rule 13a-16 or 15d-16 of  
the Securities Exchange Act of 1934**

**For the month of March, 2011**

# **PRUDENTIAL PUBLIC LIMITED COMPANY**

**(Translation of registrant's name into English)**

**LAURENCE POUNTNEY HILL,**

**LONDON, EC4R 0HH, ENGLAND**

**(Address of principal executive offices)**

## Edgar Filing: PRUDENTIAL PLC - Form 6-K

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F       Form 40-F

Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes       No

If  Yes is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82-

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date: March 31, 2011

PRUDENTIAL PUBLIC LIMITED COMPANY

By: /s/ Clive Burns  
Clive Burns  
Head of Group Secretariat

**NOTIFICATION OF TRANSACTIONS OF DIRECTORS / PERSONS DISCHARGING MANAGERIAL RESPONSIBILITY OR CONNECTED PERSONS**

1. Name of the *issuer*

**Prudential plc**

2. State whether the notification relates to (i) a transaction notified in accordance with *DTR* 3.1.2R; (ii) a disclosure made in accordance LR 9.8.6R(1); or (iii) a disclosure made in accordance with section 793 of the Companies Act (2006)

(i)

3. Name of *person discharging managerial responsibilities/director*

**M Coltman**

**R Devey**

**M McLintock**

**N Nicandrou**

**B Stowe**

**T Thiam**

**M Wells**

4. State whether notification relates to a *person* connected with a *person discharging managerial responsibilities/director* named in 3 and identify the *connected person*

**Relates to persons named in 3 above.**

5. Indicate whether the notification is in respect of a holding of the *person* referred to in 3 or 4 above or in respect of a non-beneficial interest

**Relates to persons named in 3 above.**

6. Description of *shares* (including *class*), debentures or derivatives or financial instruments relating to *shares*

**Ordinary shares of 5p each and ADRs.**

7. Name of registered shareholder(s) and, if more than one, the number of *shares* held by each of them  
**M Coltman, R Devey, M McLintock, N Nicandrou, B Stowe, T Thiam and M Wells.**

8. State the nature of the transaction

**Transaction 1**

**Grant of Deferred Award of shares for 2010 under the Annual Incentive Plan for M Coltman, R Devey, M McLintock, N Nicandrou and T Thiam.**

**Grant of Deferred Award of ADRs for 2010 under the Annual Incentive Plan for B Stowe.**

**Grant of Deferred award of ADRs for 2010 under the Group Deferred Share Plan (JNL) for M Wells.**

**Transaction 2**

**Release of on appointment awards:**

**N Nicandrou** ordinary shares being released as per the terms of the original award letter dated 27 November 2009.

**T Thiam** ordinary shares being released as per the terms of the original award letter dated 26 March 2008.

**Transaction 3**

**Release of conditional award and dividend equivalents given under the Prudential Group Performance Share Plan for M McLintock and T Thiam.**

**Acquisition of shares**

**Disposal of shares to fund tax liability**

**Transaction 4**

**Release of conditional awards under the Prudential Group Performance Share Plan and the Prudential Business Unit Performance Plan for B Stowe.**

**Acquisition of shares**

**Disposal of shares to fund tax liability**

9. Number of *shares*, debentures or financial instruments relating to *shares* acquired

**M Coltman**

**Transaction 1 23,576 ordinary shares**

**R Devey**

**Transaction 1 44,987 ordinary shares**

**M McLintock**

**Transaction 1 75,136 ordinary shares**

**Transaction 3 54,026 ordinary shares**

**N Nicandrou**

**Transaction 1 46,394 ordinary shares**

**Transaction 2 29,957 ordinary shares**

**B Stowe**

**Transaction 1 27,122 ADRs (each ADR representing 2 Prudential plc Ordinary shares of 5p each)**

**Transaction 4 138,822 ordinary shares**

**T Thiam**

**Transaction 1 213,550 ordinary shares**

**Transaction 2 49,131 ordinary shares**

**Transaction 3 351,182 ordinary shares**

**M Wells**

**Transaction 1 43,754 ADRs (each ADR representing 2 Prudential plc Ordinary shares of 5p each)**

10. Percentage of issued *class* acquired (*treasury shares* of that *class* should not be taken into account when calculating percentage)

**M Coltman less than 0.001%**

**R Devey less than 0.002%**

**M McLintock less than 0.006%**

**N Nicandrou less than 0.003%**

**B Stowe less than 0.008%**

**T Thiam less than 0.03%**

**M Wells less than 0.004%**

11. Number of *shares*, debentures or financial instruments relating to *shares* disposed

**M McLintock**

**Transaction 3 27,609 ordinary shares**

**N Nicandrou**

**Transaction 2 15,310 ordinary shares**

**B Stowe**

**Transaction 4 69,551 ordinary shares**

**T Thiam**

**Transaction 2 25,108 ordinary shares**

**Transaction 3 179,462 ordinary shares**

12. Percentage of issued *class* disposed (*treasury shares* of that *class* should not be taken into account when calculating percentage)

**M McLintock less than 0.002%**

**N Nicandrou less than 0.0007%**

**B Stowe less than 0.003%**

**T Thiam less than 0.009%**

13. Price per *share* or value of transaction

**Acquisition**

**Transaction 1 (M Coltman, R Devey, M McLintock, N Nicandrou, T Thiam) ordinary shares £7.35**

**Transaction 1 (B Stowe, M Wells) ADRs USD 23.69**

**Disposal**

**Transactions 2, 3 and 4 (M McLintock, N Nicandrou, B Stowe, T Thiam) ordinary shares £7.2229**

14. Date and place of transaction

**30 March 2011, London**

**30 March 2011, NYSE**

15. Total holding following notification and total percentage holding following notification (any *treasury shares* should not be taken into account when calculating percentage)

**M Coltman 115,837 ordinary shares, less than 0.005%**

**R Devey 573,221 ordinary shares, less than 0.03%**

**M McLintock 862,999 ordinary shares, less than 0.04%**

**N Nicandrou 689,212 ordinary shares, less than 0.03%**

**T Thiam 1,443,247 ordinary shares, less than 0.06%**

**B Stowe 1,016,581 ordinary shares (made up of 401,641 ADRs representing 803,282 ordinary shares and 213,299 ordinary shares), less than 0.04%**

**M Wells 702,872 ordinary shares (made up of 351,436 ADRs representing 702,872 ordinary shares), less than 0.03%**

16. Date issuer informed of transaction

**30 March 2011**

**If a person discharging managerial responsibilities has been granted options by the issuer complete the following boxes**

17. Date of grant

**N/A**

18. Period during which or date on which it can be exercised

**N/A**

19. Total amount paid (if any) for grant of the option

**N/A**

20. Description of *shares* or debentures involved (*class* and number)

**N/A**

21. Exercise price (if fixed at time of grant) or indication that price is to be fixed at the time of exercise

**N/A**

22. Total number of *shares* or debentures over which options held following notification

**N/A**



23. Any additional information  
N/A

24. Name of contact and telephone number for queries

**Jennie Webb, Share Plans Advisor, 020 7548 2027**

Name of duly authorised officer of *issuer* responsible for making notification

**Clive Burns, Head of Group Secretariat, 020 7548 3805**

Date of notification

**31 March 2011**

**Prudential plc is not affiliated in any manner with Prudential Financial Inc, a company whose principal place of business is in the United States of America**

**Notes:** This form is intended for use by an *issuer* to make an *RIS* notification required by *DR* 3.3.

- (1) An *issuer* making a notification in respect of a transaction relating to the *shares* or debentures of the *issuer* should complete boxes 1 to 16, 23 and 24.
- (2) An *issuer* making a notification in respect of a derivative relating the *shares* of the *issuer* should complete boxes 1 to 4, 6, 8,13, 14, 16, 23 and 24.
- (3) An *issuer* making a notification in respect of options granted to a *director/person discharging managerial responsibilities* should complete boxes 1 to 3 and 17 to 24.
- (4) An *issuer* making a notification in respect of a *financial instrument* relating to the *shares* of the *issuer* (other than a debenture) should complete boxes 1 to 4, 6, 8, 9, 11, 13, 14, 16, 23 and 24.

END