

Edgar Filing: GOODMAN CHARLES H - Form 4

GOODMAN CHARLES H  
Form 4  
January 06, 2003

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OMB APPROVAL  
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[ ] Check this box if no longer  
subject to Section 16. Form 4 or  
Form 5 obligations may continue.  
See Instruction 1(b).

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U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person\*

Goodman Charles H.  
-----  
(Last) (First) (Middle)

222 North LaSalle Street, Suite 2000  
-----

(Street)

Chicago Illinois 60601  
-----  
(City) (State) (Zip)

-----  
2. Issuer Name and Ticker or Trading Symbol

Alltel Corporation (AT)  
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3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

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4. Statement for Month/Year

January 2, 2003  
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5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

[X] Director [ ] 10% Owner  
[ ] Officer (give title below) [ ] Other (specify below)

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7. Individual or Joint/Group Filing (Check applicable line)

- Form filed by One Reporting Person
- Form filed by more than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		
		Code	V	Amount	(A) or (D)	Price
Common Stock	08/12/02	W Note A	V	16,200	A	
Common Stock	09/03/02	J Note B	V	37,750	D	
Common Stock	01/02/03	A Note C		941	A	\$53.15
Common Stock	08/12/02	W Note A	V	16,200	D	
Common Stock	09/03/02	J Note B	V	37,750	A	
Common Stock						
Common Stock						
Common Stock						
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Common Stock						

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- Note A: Transfer from the Reporting Person's spouse, by will or by the laws of descent and distribution
- Note B: Change in form of beneficial ownership - Transfer from the Reporting Person to the Charles AT Trust (of which the Reporting Person is the Trustee and a Beneficiary)
- Note C: Exempt by Rule 16b-3(d) - a grant approved by the Board of Directors in lieu of cash for the Reporting Person's annual director fee and subject to a substantial risk of forfeiture until January 1, 2004
- Note D: Owned by a partnership - Areljay, L.P. (of which the Reporting Person is a partner in a limited partnership which is a Partner)
- Note E: Owned by a partnership - The Crown Fund (of which the Reporting Person is a partner)
- Note F: Owned by a trust - Charles Grandchildren Trust (of which the Reporting Person is a co-trustee and the Reporting Person's grandchildren are the beneficiaries)
- Note G: Owned by a trust - James & Ann Goodman Trust (of which the Reporting Person is a beneficiary and shares investment control)
- Note H: Owned by a trust - Suzanne Family Trust (of which the Reporting Person is a beneficiary and shares investment control)
- Note I: Owned by a trust - Charles Family Trust (of which the Reporting Person is a beneficiary and shares investment control)
- Note J: Owned by a partnership - The Crown Fund II (of which the Reporting Person is a partner)
- Note K: Owned by a trust - Irving & Rose Trust (of which the Reporting Person is a beneficiary)
- Note L: Owned by a trust - David and Mollie Goodman Trust (of which the Reporting Person is a co-trustee and his grandchildren are the beneficiaries)

The Reporting Person disclaims beneficial ownership of the shares described in Note B and Notes D through L, except to the extent of his beneficial interest in the entities that own these shares.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

(Over)  
SEC 1474(3-99)

FORM 4 (continued)

Table II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (e.g., puts, calls, warrants, options, convertible securities)

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2.  
Conver-

5.

7.

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1. Title of Derivative Security (Instr. 3)	sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) ----- Code V	Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date	Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares
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Explanation of Responses:

\_\_\_\_\_  
 /s/ Charles H. Goodman  
 \_\_\_\_\_  
 \*\*Signature of Reporting Person

\_\_\_\_\_  
 January 6, 2003  
 \_\_\_\_\_  
 Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.