

ZANK DENNIS W  
Form 5  
October 07, 2002

UNITED STATES SECURITIES AND  
EXCHANGE COMMISSION Washington, D.C.  
20549

**FORM 5**

Check this box if no longer subject to  
Section 16. Form 4 or Form 5  
obligations may continue. See  
Instruction 1(b). Form 3 Holdings  
Reported Form 4 Transactions  
Reported

**STATEMENT OF CHANGES IN  
BENEFICIAL OWNERSHIP**

**OMB APPROVAL**  
OMB Number:  
3235-0362  
Expires:  
September 30, 1998  
Estimated  
average burden  
hours per response 1.0

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section  
17(a) of the Public Utility Holding Company Act of 1934 or Section 30(f) of the  
Investment Company Act of 1940

(Print or Type  
Responses)

1. Name and Address of Reporting Person\*

2. Issuer Name and Ticker or Trading Symbol

6. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

**Zank** **Dennis** **W**  
(Last) (First) (Middle)

**Raymond James Financial (RJF)**  
3. IRS or Social Security Number of Reporting Person (Voluntary)  
4. Statement for Month/Year  
**Sept. 2002**

Director  
 Officer (give title below)  
 Owner  
 Other (specify below)

**880 Carillon  
Parkway**  
(Street)

**St. Petersburg** **FL** **33716**  
(City) (State) (ZIP)

7. Individual or Joint/Group Filing  
(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I – Non Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or	5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4)	6. Owner- ship Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Owner- ship (Instr. 4)
Raymond James Financial, Inc. (RJF) <b>RJF Common Stock</b>			Amount (D) Price	<b>51,838</b>	<b>D</b>	
<b>RJF Common Stock</b>				<b>17,336</b>	<b>I</b>	<b>ESOP</b>

**NO UNREPORTED ACTIVITY**

Edgar Filing: ZANK DENNIS W - Form 5

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

(Over)  
SEC 2270  
(9-96)

FORM 5 Table II — Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned at End of Month (Instr. 4)	10. Ownership Form of Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Beneficial Ownership (Instr. 4)
Employee Stock Option (Right to buy)		Current		(A)	1/18/2003	Common Stock	6,300 22.1667			
Employee Stock Option (Right to buy)		11/18/2002		(A)	1/18/2003	Common Stock	2,700 22.1667			
Employee Stock Option (Right to buy)		11/18/02-11/18/04		(A)	1/18/2005	Common Stock	6,000 20.6300			
Employee Stock Option (Right to buy)		11/28/04-1/02/07		(A)	1/28/2007	Common Stock	10,000 32.0000	25,000	D	

Edgar Filing: ZANK DENNIS W - Form 5

Explanation of Responses:

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations,  
*See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/S/ DENNIS W. ZANK  
\*\*Signature of Reporting Person

October 7, 2002  
Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient.  
*see* Instructions 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Page 2  
SEC  
2270  
(9-96)