JAMES HUNTINGTON A

Form 5

October 04, 2002

RJF Common

RJF Common

Stock

Stock

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 5
Check this box if no longer subject to
Section 16. Form 4 or Form 5 obligations
may continue. *See* Instruction 1(b). Form
3 Holdings Reported Form 4
Transactions Reported

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL Number: 3235-0362 Expires: September 30, 1998 Estimated average

1.0

Filed prusuant to Section 16(a) of the Securities Exchange Act of 1934, Section (Print or Type 17(a) of the Public Utility Holding Company Act of 1934 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*

2. Issuer Name and Ticker or Trading Symbol

6. Relationship of Reporting Person(s) to

burden hours per response

Issuer

49,851

16,990

420,335

D

I

Ι

(Check all applicable) 10% Raymond James Financial (RJF) James Huntington A X Director Owner 3. IRS or 4. Statement Social Other (Last) (First) (Middle) Security Officer (give (specify for Number of Reporting Month/Year title below) below) 880 Carillon Person **Parkway Sept. 2002** (Voluntary) 7. Individual or Joint/Group Filing 5. If (Check Applicable Line) (Street) Amendment Date of X Form filed by One Reporting Person Original Form filed by More than One Reporting FL 33716 St. Petersburg (Month/Year) (City) (State) (ZIP) Table I - Non Derivative Securities Acquired, Disposed of , or beneficially Owned 3. 1. Title of Security 2. Trans-Trans-4. Securities Acquired (A) 5. Amount of 6. Owner- 7. Nature of (Instr. 3) action action or Disposed of (D) Securities ship Indirect Date Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Instr. Owned at 8) Direct Owner-(Month/ End of Month (D) or ship Day/ Indirect (Instr. 3 and (A) Year) 4) (I) or Raymond James Financial, Inc. (RJF) Amount (D) Price (Instr. 4) (Instr. 4)

James Grand-

children Trust

Edgar Filing: JAMES HUNTINGTON A - Form 5

RJF Common Stock			Robert A. James Irrev Trust
RJF Common Stock	571	I	ESOP
RJF Common Stock	2,129	I	Madeleine O. James (daughter)
RJF Common Stock	860	I	Miles H. James (son)

NO UNREPORTED ACTIVITY

(Over) SEC 2270 (9-96)

FORM 5 (continued) 1. Title of	Table	II – Deri	vative S		uired, Dispo , options, cor			•	(e.g., put	ts, calls,			
Derivative	2.		4.	5. Number				7. Title and	i		9.		
Security	Conver-	3. Trans	-Trans-	or Deriv- ative	6. Date Ex	ker-		Amount of	•	8. Price	Number	10.Owner	-11.Nature
				Securities				Underlying	7				
(Instr. 3)	sion or	action	action	Ac- quired (A)	cisable an	.d		Securities (Instr. 3 and		of	of deriv	- ship	of
	Exercise	Date	Code (Instr.	or Dis- posed of	Expiration	1		4)		deriv-	ative	Form of	Indirect
	Price of		8)	(D) (Instr. 3,	Date					Secur-	Secur-	Security:	Benefi-
	Deri-	(Month/	1	4, and 5)	(Month/D	ay/				ity	ities	Direct	cial
	vative	Day/			Year)					(Instr.	Bene-	(D) or	Owner-
	Security	Year)								5)	•	Indirect	ship
											Owned or End	(1) Instr. 4)	(Instr. 4)
											of deriv		
											Month		
									Amoun	ıt			
					Date		Expira-		or		(Instr. 4)	
					Exer-		tion	Title	Numbe of	er			
					cisible		Date	11116	Shares				
				(A) (D)		Dute		Silares				
Employee													
Stock Option	n												
(Right to					~			Common					
buy)					Curi	rent	1/18/2003	Stock	600	0 24.3833	5		
Employee Stock Option	n												
(Right to	••							Common					
buy)					10/18/	/2002	1/18/2003			0 24.3833	3		
					11/18/02-	11/18/04	41/18/2005	;	500	0 20.6250	1,25	0 D	

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Edgar Filing: JAMES HUNTINGTON A - Form 5

Employee
Stock Option
(Right to
buy)

Common Stock

Explanation of Responses:

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations,

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient. *see* Instructions 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

/S/ HUNTINGTON A. JAMES
**Signature of Reporting Person

Date

Page 2 SEC 2270 (9-96)