

UNIVERSAL INSURANCE HOLDINGS, INC.

Form 4

November 13, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Springer Jon

(Last) (First) (Middle)

1110 WEST COMMERCIAL
BOULEVARD, SUITE 100

(Street)

FORT LAUDERDALE, FL 33309

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
UNIVERSAL INSURANCE
HOLDINGS, INC. [UVE]

3. Date of Earliest Transaction
(Month/Day/Year)
11/11/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Executive Vice President & COO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/11/2013		M		50,000	A	\$ 2.31	351,262	D	
Common Stock	11/11/2013		F		26,804	D	\$ 8.06	324,458	D	
Common Stock	11/11/2013		M		75,000	A	\$ 4.87	399,458	D	
Common Stock	11/11/2013		F		55,697	D	\$ 8.06	343,761	D	
Common Stock	11/11/2013		M		100,000	A	\$ 4.7	443,761	D	

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Common Stock 11/11/2013 F 77,562 D \$ 8.06 366,199 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
Option to Purchase Common Stock	\$ 2.31	11/11/2013		M		50,000		06/05/2010 ⁽¹⁾	12/05/2013	Common Stock	200,000
Option to Purchase Common Stock	\$ 4.87	11/11/2013		M		75,000		05/19/2011 ⁽²⁾	05/19/2015	Common Stock	75,000
Option to Purchase Common Stock	\$ 4.7	11/11/2013		M		100,000		06/23/2013 ⁽³⁾	06/23/2018	Common Stock	100,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Springer Jon 1110 WEST COMMERCIAL BOULEVARD SUITE 100 FORT LAUDERDALE, FL 33309	X		Executive Vice President & COO	

Signatures

/s/ Jon W. Springer 11/12/2013

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Option was granted in connection with a Non-Qualified Stock Option Agreement by and between the Company and Mr. Springer, effective as of December 5, 2008. The option vested as follows: (a) 50,000 shares of common stock on 12/5/2008, (b) 50,000 shares of common stock on 6/5/2009, (c) 50,000 shares of common stock on 12/5/2009 and (d) 50,000 shares of common stock on 6/5/2010.
 - Option was granted in connection with a Non-Qualified Stock Option Agreement by and between the Company and Mr. Springer, effective as of May 19, 2010. The option vested as follows: (a) 37,500 shares of common stock on 5/19/2010 and (b) 37,500 shares of common stock on 5/19/2011.
 - Option was granted in connection with a Non-Qualified Stock Option Agreement by and between the Company and Mr. Springer, effective as of June 23, 2011. The option vested as follows: (a) 33,333 shares of common stock on 12/23/2011, (b) 33,333 shares of common stock on 6/23/2012 and (c) 333,334 shares of common stock on 6/23/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.