## Edgar Filing: ZOGENIX, INC. - Form 4

ZOGENIX, INC. Form 4											
June 19, 2013											
FORM 4			GEGU			WOII	NOT			PPROVAL	
	UNITED	STATES		RITIES A shington				COMMISSIO	N OMB Number:	3235-028	
Check this boy if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	STATEM Filed pur Section 17(	suant to S a) of the I	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Sectior of the Investment Company Act of 1940						Estimated burden hoi response	urs per	
(Print or Type Respo	onses)										
1. Name and Addrese BOCK LOUIS C	2. Issuer Name <b>and</b> Ticker or Trading Symbol ZOGENIX, INC. [ZGNX]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last)	(First) (Middle) 3. Date of Earliest Transaction						(Check an applicable)				
950 TOWER LANE, SUITE 700			(Month/Day/Year) 06/18/2013					X_ Director 10% Owner Officer (give title Other (specify below) below)			
FOSTER CITY,	4. If Amendment, Date Original Filed(Month/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>					
								Person			
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivati	ve Secu	rities A	cquired, Disposed	of, or Beneficia	ally Owned	
	ansaction Date nth/Day/Year)	2A. Deema Execution any (Month/Da	Date, if	3. Transactic Code (Instr. 8) Code V	Dispos (Instr.	ed (A) c ed of (E 3, 4 and (A) or	)) 5)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report or	n a separate line	for each cla	ass of sec	urities bene	ficially of	owned d	irectly	or indirectly.			
	·				Per info req dis	sons w ormatio uired te	ho reson on cont o resp	spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securities	D
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	S
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)			(]

	Derivative Security					or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Right to buy stock	\$ 1.5	06/18/2013	06/18/2013	A		45,000		<u>(1)</u>	06/18/2023	Common Stock	45,000

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
BOCK LOUIS C 950 TOWER LANE SUITE 700 FOSTER CITY, CA 94404	Х						
Signatures							
Vickie Reed, Attorney-in-fact	06/19	0/2013					

\*\*Signature of Reporting Person

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option was granted on June 18, 2013 and vests in a series of twelve (12) successive, equal monthly installments beginning on June 18, 2013, subject to the Reporting Person's continued service to the Company on each vesting date.

Pursuant to the policies of Scale Venture Partners II, LP and Scale Venture Management II, LLC (together "Scale Partners") and Scale Management LLC ("Scale Management") the Reporting Person is deemed to hold the reported option for the benefit of Scale

(2) Management, and must exercise the option solely at the direction of Scale Partners. Scale Management may be deemed the indirect beneficial owner of the option. The Reporting Person disclaims beneficial ownership of the option to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.