

SWITZER BRYAN K
Form 4
September 07, 2011

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SWITZER BRYAN K

2. Issuer Name and Ticker or Trading Symbol
AMERICAN STATES WATER CO
[AWR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
630 E FOOTHILL BLVD

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/06/2011

____ Director
 Officer (give title below) _____ Other (specify below)
Vice President

SAN DIMAS, CA 91773

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Shares	03/01/2011		A		29.2722 (1)	A	\$ 33.1 6,088.3909 D
Common Shares	06/01/2011		A		30.7585 (2)	A	\$ 34.19 6,119.1494 D
Common Shares	09/01/2011		A		30.2669 (3)	A	\$ 35.03 6,149.4163 D
Common Shares	09/06/2011		M		3,085	A	\$ 25.92 9,234.4163 D
Common Shares	09/06/2011		S		700	D	\$ 33.04 8,534.4163 D

Edgar Filing: SWITZER BRYAN K - Form 4

Common Shares	09/06/2011	S	400	D	\$ 33.05	8,134.4163	D
Common Shares	09/06/2011	S	100	D	\$ 33.06	8,034.4163	D
Common Shares	09/06/2011	S	150	D	\$ 33.08	7,884.4163	D
Common Shares	09/06/2011	S	200	D	\$ 33.11	7,684.4163	D
Common Shares	09/06/2011	S	400	D	\$ 33.12	7,284.4163	D
Common Shares	09/06/2011	S	200	D	\$ 33.14	7,084.4163	D
Common Shares	09/06/2011	S	300	D	\$ 33.15	6,784.4163	D
Common Shares	09/06/2011	S	135	D	\$ 33.16	6,649.4163	D
Common Shares	09/06/2011	S	500	D	\$ 33.18	6,149.4163	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (Right to Buy)	\$ 25.92	09/06/2011		M	3,085	01/02/2006 ⁽⁴⁾	01/02/2015	common	3,085

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SWITZER BRYAN K 630 E FOOTHILL BLVD SAN DIMAS, CA 91773			Vice President	

Signatures

/s/ Bryan K.
Switzer

09/07/2011

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DER units credited on 3/1/2011 as dividend at FMV
- (2) DER units credited on 6/1/2011 as dividend at FMV
- (3) DER units credited on 9/1/2011 as dividend at FMV
- (4) This option became exercisable as to 33% of the total number of shares subject to the option on 1/2/2006, 33% on 1/2/2007 and 34% on 1/2/2008

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.