## Edgar Filing: WHITEBOX ADVISORS LLC - Form 3

#### WHITEBOX ADVISORS LLC

Form 3

October 04, 2010

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 **WHITEBOX ADVISORS** LLC

(Last)

(First)

(Middle)

Statement

(Month/Day/Year) 03/31/2010

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

AVENTINE RENEWABLE ENERGY HOLDINGS INC

[AVRW]

3033 EXCELSIOR

**BOULEVARD, SUITE 300** 

(Street)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

\_X\_ 10% Owner Director Officer

See Remarks below

\_X\_ Other (give title below) (specify below) 6. Individual or Joint/Group

Filing(Check Applicable Line) Form filed by One Reporting

Person

X Form filed by More than One

Reporting Person

MINNEAPOLIS. MNÂ 55416

(City) (State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5)

Direct (D) or Indirect

(I)

(Instr. 5)

Common Stock (1) 1,053,216  $I^{(2)}$ See Footnote (3)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and 3. Title and Amount of **Expiration Date** (Month/Day/Year)

Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Price of Derivative Derivative Security:

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Date Expiration Title Amount or Security Direct (D)

Exercisable Date Number of or Indirect
Shares (I)

(Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WHITEBOX ADVISORS LLC 3033 EXCELSIOR BOULEVARD, SUITE 300 MINNEAPOLIS, MN 55416	Â	ÂX	Â	See Remarks below
Whitebox Multi-Strategy Partners LP 3033 EXCELSIOR BOULEVARD, SUITE 300 MINNEAPOLIS, MN 55416	Â	ÂX	Â	See Remarks Below
F Cubed Partners LP 3033 EXCELSIOR BOULEVARD, SUITE 300 MINNEAPOLIS, MN 55416	Â	ÂX	Â	See Remarks Below
Whitebox Credit Arbitrage Partners, L.P. 3033 EXCELSIOR BOULEVARD, SUITE 300 MINNEAPOLIS, MN 55416	Â	ÂX	Â	See Remarks Below
Dre Partners, LP 3033 EXCELSIOR BOULEVARD, SUITE 300 MINNEAPOLIS, MN 55416	Â	ÂX	Â	See Remarks Below
Pandora Select Partners, L.P. 3033 EXCELSIOR BOULEVARD, SUITE 300 MINNEAPOLIS, MN 55416	Â	ÂX	Â	See Remarks Below

## **Signatures**

/s/ Jonathan D. Wood, Chief Operating Officer, on behalf of Whitebox Advisors, LLC

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired are held directly by Whitebox Multi-Strategy Partners, L.P. ("WMSP"), F-Cubed Partners, L.P. ("FCP"), Whitebox Credit Arbitrage Partners, L.P. ("WCAP"), DRE Partners, L.P. ("DP"), and Pandora Select Partners, L.P. ("PSP").
- The general partner of, WMSP and FCP is Whitebox Multi-Strategy Advisors, LLC ("WMSA"), WCAP and DP is Whitebox Credit

  (2) Arbitrage Advisors, LLC ("WCAA"), and PSP is Pandora Select Advisors, LLC ("PSA"). The managing member and controlling owner of WMSA, WCAA, and PSA is Whitebox Advisors, LLC ("WA").
- (3) Shares attributable to interests held by WA as Managing Member of WMSA, WCAA, and PSA, which act as General Partners to WMSP, FCP, WCAP, DP, and PSP which directly hold the shares.

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#### **Remarks:**

Based on the relationships described in notes (1) and (2), the entities referenced therein may be de

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.