

APPLIED MATERIALS INC /DE
Form 3
November 09, 2006

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Davis George S
(Last) (First) (Middle)

C/O APPLIED MATERIALS,
INC. PO BOX 58039,Â 3050
BOWERS AVENUE M/S 2023

(Street)

SANTA CLARA,Â CAÂ 95054

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
11/01/2006

3. Issuer Name and Ticker or Trading Symbol
APPLIED MATERIALS INC /DE [AMAT]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)
Group Vice President and CFO

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	13,737.5476 ⁽¹⁾	D	Â
Common Stock	220	I	By Trust
Common Stock	1,305.38	I	By the 401(k) plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: APPLIED MATERIALS INC /DE - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (Right to Buy)	Â (2)	12/01/2006	Common Stock	120,000	\$ 25.125	D	Â
Employee Stock Option (Right to Buy)	Â (3)	10/18/2007	Common Stock	90,000	\$ 21.0313	D	Â
Employee Stock Option (Right to Buy)	07/15/2005	04/03/2008	Common Stock	50,000	\$ 20.0625	D	Â
Employee Stock Option (Right to Buy)	07/15/2006	10/19/2008	Common Stock	60,000	\$ 16.275	D	Â
Employee Stock Option (Right to Buy)	07/15/2007	11/19/2009	Common Stock	35,000	\$ 15.07	D	Â
Employee Stock Option (Right to Buy)	07/15/2004	11/19/2009	Common Stock	25,000	\$ 15.07	D	Â
Employee Stock Option (Right to Buy)	Â (4)	07/11/2010	Common Stock	40,000	\$ 17.39	D	Â
Employee Stock Option (Right to Buy)	08/05/2005	10/29/2010	Common Stock	80,000	\$ 22.58	D	Â
Employee Stock Option (Right to Buy)	08/05/2005	10/29/2010	Common Stock	115,000	\$ 22.58	D	Â
Employee Stock Option (Right to Buy)	Â (5)	11/03/2011	Common Stock	125,000	\$ 16.12	D	Â
Employee Stock Option (Right to Buy)	Â (6)	12/01/2012	Common Stock	60,000	\$ 18.64	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Davis George S C/O APPLIED MATERIALS, INC. PO BOX 58039 3050 BOWERS AVENUE M/S 2023 SANTA CLARA, CA 95054	Â	Â	Â Group Vice President and CFO	Â

Signatures

/s/ Charmaine Mesina,
Attorney-In-Fact

11/09/2006

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Number of shares include 6,000 performance shares (or restricted stock units) that in the future will be converted on a one-for-one basis into shares of Applied Materials, Inc. common stock immediately upon vesting, which vesting is scheduled to occur in three equal annual installments beginning 8/31/07 (assuming continued employment).
- (1) 30,000 shares became exercisable on each of 12/1/00, 12/1/01, 12/1/02 and 12/1/03.
 - (2) 20,000 shares became exercisable on each of 7/15/02 and 7/15/03; and 50,000 shares became exercisable on 7/15/04.
 - (3) 25,000 shares became exercisable on 7/15/05 and 15,000 shares became exercisable on 7/15/06.
 - (4) 31,250 shares became exercisable on each of 7/15/05 and 7/15/06; and 31,250 shares become exercisable on each of 7/15/07 and 7/15/08 (assuming continued employment).
 - (5) 15,000 shares became exercisable on 8/31/06; and 15,000 shares become exercisable on each of 8/31/07, 8/31/08 and 8/31/09 (assuming continued employment).
 - (6)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.