

YASINSKY JOHN B  
Form 4  
May 21, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
YASINSKY JOHN B

2. Issuer Name and Ticker or Trading Symbol  
TriState Capital Holdings, Inc. [TSC]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
ONE OXFORD CENTRE, 301  
GRANT STREET, SUITE 2700

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/18/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)

PITTSBURGH, PA 15219

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                             |
| Common Stock                    | 05/18/2018                           |  | M                              |   | 6,000   | A  | \$ 8                              |
| Common Stock                    | 05/18/2018                           |  | S                              |   | 6,000   | D  | \$ 26.5                           |
| Common Stock                    |                                      |  |                                |   |   |  | 12,000                            |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|----------------------------|
| Stock Options (Right to Buy)               | \$ 8   | 05/18/2018                           |  | M                              | 6,000  | 06/30/2013 <sup>(2)</sup> 12/31/2020                     | Common Stock  | 6,000                      |
| Stock Options (Right to Buy)               | \$ 10.25   |                                      |  |                                |  | 06/30/2015 <sup>(3)</sup> 12/31/2022                     | Common Stock  | 6,000                      |
| Stock Options (Right to Buy)               | \$ 11.78   |                                      |  |                                |  | 06/13/2016 <sup>(4)</sup> 12/13/2023                     | Common Stock  | 6,000                      |
| Stock Options (Right to Buy)               | \$ 9.32  |                                      |  |                                |  | 06/30/2014 <sup>(5)</sup> 12/31/2021                     | Common Stock  | 6,000                      |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| YASINSKY JOHN B<br>ONE OXFORD CENTRE<br>301 GRANT STREET, SUITE 2700<br>PITTSBURGH, PA 15219 | X             |           |         |       |

## Signatures

/s/ Keevican Weiss Bauerle & Hirsch LLC by James F. Bauerle,  
Attorney-in-Fact

05/21/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held jointly of record by the Reporting Person and his spouse.
  - (2) 3,000 of these options will vest and become exercisable on or about 6/30/2013, and the remaining 3,000 options will vest and become exercisable on 12/31/2015.
  - (3) 3,000 of these options vested and became exercisable on or about 6/30/2015, and the remaining 3,000 options will vest and become exercisable on 12/31/2017.
  - (4) 3,000 of these options will vest and become exercisable on 6/13/2016, and the remainder will vest and become exercisable on 12/13/2018.
  - (5) 3,000 of these options vested and became exercisable on or about 6/30/2014, and the remaining 3,000 options will vest and become exercisable on 12/31/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.