

EAST WEST BANCORP INC  
 Form 4  
 November 07, 2014

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 NG DOMINIC

2. Issuer Name and Ticker or Trading Symbol  
 EAST WEST BANCORP INC  
 [EWBC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
 (Month/Day/Year)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

EAST WEST BANK, 135 N. LOS ROBLES AVE. 7TH FLOOR

11/05/2014

Chief Executive Officer

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

PASADENA, CA 91101

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	
Common Stock	11/05/2014		M		21,699	A	\$ 21.09 486,701 D
Common Stock	11/05/2014		S		21,699	D	\$ 37.224 465,002 D (2)
Common Stock	11/06/2014		M		10,000	A	\$ 21.09 475,002 D
Common Stock	11/06/2014		S		10,000	D	\$ 37.344 465,002 D (3)

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Common Stock	11/07/2014	M	10,000	A	\$ 21.09	475,002	D
Common Stock	11/07/2014	S	10,000	D	\$ <u>37.223</u> (4)	465,002	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 21.09	11/05/2014		M	21,699	02/19/2010 <sup>(1)</sup> 02/19/2015	Common Stock	21,699
Employee Stock Option (Right to Buy)	\$ 21.09	11/06/2014		M	10,000	02/19/2010 <sup>(1)</sup> 02/19/2015	Common Stock	10,000
Employee Stock Option (Right to Buy)	\$ 21.09	11/07/2014		M	10,000	02/19/2010 <sup>(1)</sup> 02/19/2015	Common Stock	10,000

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director	10% Owner	Officer	Other
X		Chief Executive Officer	

NG DOMINIC  
EAST WEST BANK  
135 N. LOS ROBLES AVE. 7TH FLOOR  
PASADENA, CA 91101

## Signatures

Douglas P. Krause,  
Attorney-in-Fact

11/07/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest in three equal installments beginning in February 19, 2010.
  - (2) A total of 21,699 shares were sold at a weighted average price of \$37.224.
  - (3) A total of 10,000 shares were sold at a weighted average price of \$37.344.
  - (4) A total of 10,000 shares were sold at a weighted average price of \$37.223.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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