

BALLY TECHNOLOGIES, INC.
Form 4
January 06, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DAVID ROBBINS

2. Issuer Name and Ticker or Trading Symbol
**BALLY TECHNOLOGIES, INC.
[BYI]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
01/02/2014

Director 10% Owner
 Officer (give title below) Other (specify below)

6601 S. BERMUDA ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

LAS VEGAS, NV 89119

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | | |
|---|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|---|--|
| | | | Code | V | Amount (A) or Price (D) | | | | |
| Common Stock, par value \$.10 per share | 01/02/2014 | | A | | 3,163 <u>(1)</u> | A | \$ 0 <u>(2)</u> 157,959 | D | |
| Common Stock, par value \$.10 per share | 12/10/2013 | | D | | 25,714 | D | \$ 0 0 | I | Robbins Family 2009 Trust A |
| Common Stock, par value \$.10 per share | 12/10/2013 | | A | | 25,714 | A | \$ 0 25,714 | I | Robbins Family 2013 Trust A <u>(3)</u> |

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| | | | | | | | | |
|---|------------|---|--------|---|------|--------|---|--|
| Common Stock, par value \$.10 per share | 12/10/2013 | D | 27,771 | D | \$ 0 | 0 | I | Robbins Family 2009 Trust E |
| Common Stock, par value \$.10 per share | 12/10/2013 | A | 27,771 | A | \$ 0 | 27,771 | I | Robbins Family 2013 Trust E ⁽⁴⁾ |
| Common Stock, par value \$.10 per share | | | | | | 39,221 | I | by GRAT 3 |
| Common Stock, par value \$.10 per share | 12/10/2013 | D | 52,200 | D | \$ 0 | 0 | I | Robbins Family 2012 Trust F |
| Common Stock, par value \$.10 per share | 12/10/2013 | A | 52,200 | A | \$ 0 | 52,200 | I | Robbins Family 2013 Trust F ⁽⁵⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| DAVID ROBBINS 6601 S. BERMUDA ROAD LAS VEGAS, NV 89119 | | X | | |

Signatures

Neil Davidson, attorney-in-fact for: David Robbins 01/06/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock which vests with respect to 3,163 shares on 1/2/2015.
 - (2) Granted as compensation for services.
 - (3) 25,714 shares transferred from Robbins Family 2009 Trust A to Robbins Family 2013 Trust A on December 10, 2013.
 - (4) 27,771 shares transferred from Robbins Family 2009 Trust E to Robbins Family 2013 Trust E on December 10, 2013.
 - (5) 52,200 shares transferred from Robbins Family 2012 Trust F to Robbins Family 2013 Trust F on December 10, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.