#### MCINERNEY TIMOTHY

Form 4 January 05, 2010

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **MCINERNEY TIMOTHY** 

2. Issuer Name and Ticker or Trading

Issuer

Symbol

ZIOPHARM ONCOLOGY INC [ZIOP]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

4. If Amendment, Date Original

X\_ Director Officer (give title

10% Owner Other (specify

(Month/Day/Year) 12/31/2009

Filed(Month/Day/Year)

3.

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

HOPEWELL, NJ 08525

ONE COVENTRY LANE

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

Code V Amount

4. Securities

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect Beneficial (D) or Ownership Indirect (I) (Instr. 4) (Instr. 4)

(A) or

(D)

Α

Reported

Transaction(s) (Instr. 3 and 4)

Common

Stock, \$.001 par

value

12/31/2009

 $A^{(6)}$ 

7,500

\$0 215,705

Price

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		Secur	erivaritie ired r osed	ative s l	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V	(A)	)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrants to Purchase Common Stock	\$ 4.75								09/13/2005	05/31/2012	Common Stock, \$.001 par value	20,767
Director Stock Option (right to buy)	\$ 4.31								<u>(1)</u>	07/20/2015	Common Stock, \$.001 par value	15,029
Director Stock Option (right to buy)	\$ 5.01								04/26/2006	04/26/2016	Common Stock	15,000
Warrants to Purchase Common Stock	\$ 5.09								05/03/2006	05/03/2013	Common Stock, \$.001 par value	80,737
Director Stock Option (right to buy)	\$ 6.49								(2)	12/13/2016	Common Stock	15,000
Warrant	\$ 5.75								02/23/2007	02/23/2012	Common Stock	35,739
Stock Option (right to buy)	\$ 4.85								<u>(3)</u>	06/18/2017	Common Stock	15,000
Stock Option (right to buy)	\$ 2.73								<u>(4)</u>	12/12/2017	Common Stock	20,000

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Stock Option (right to buy)	\$ 0.7				<u>(5)</u>	05/13/2019	Common Stock	15,000
Warrants to purchase common stock	\$ 2.04				09/15/2009	09/15/2014	Common Stock, \$.001 par value	50,000
Warrants to purchase common stock	\$ 2.04				09/15/2009	09/15/2014	Common Stock	40,298
Warrants to Purchase Common Stock	\$ 4.02				12/09/2009	12/09/2014	Common Stock	25,000
Stock Option (right to buy)	\$ 2.85	12/31/2009	A	7,500	<u>(7)</u>	12/31/2019	Common Stock, \$.001 par value	7,500

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Topolong of the Fund of Fundament	Director	10% Owner	Officer	Other			
MCINERNEY TIMOTHY ONE COVENTRY LANE HOPEWELL, NJ 08525	X						

## **Signatures**

/s/ Richard Bagley, as attorney-in-fact for Timothy
McInerney

01/05/2010

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 7,515 shares vest on 7/20/06 and 7,514 shares vest on 7/20/07.
- (2) 5,000 shares vest on each of 12/13/07, 12/13/08 and 12/13/09.
- (3) 5,000 shares vest on each of 6/18/08, 6/18/09 and 6/18/10.
- (4) 6,667 shares vest on each of 12/12/08 and 12/12/09; 6,666 shares vest on 12/12/10.
- (5) 5,000 shares vest on each of 12/31/09, 6/30/2010 and 12/31/2010.

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- (6) Grant of restricted stock; restrictions lapse in 2 equal annual installments beginning on the anniversary of the date of grant.
- (7) 3,750 shares vest on each of 12/31/2011 and 12/31/2012.

#### **Remarks:**

Power of Attorney Form incorporated by reference to Exhibit 24.1 to the Form 4 filed by the Reporting Person on 9/24/2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.