# Edgar Filing: CAPSTONE TURBINE CORP - Form 8-K

## CAPSTONE TURBINE CORP

Form 8-K November 09, 2006

# SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 8-K

#### CURRENT REPORT

Pursuant to Section 13 or 15 (d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) November 9, 2006

CAPSTONE TURBINE CORPORATION (Exact name of registrant as specified in its charter)

Delaware 001-15957 95-4180883 (State or other jurisdiction (Commission (I.R.S. Employer of incorporation) file number) Identification No.)

21211 Nordhoff Street, Chatsworth, California 91311 (Address of principal executive offices)

(818) 734-5300 (Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- $|\_|$  Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- $\mid$  | Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- $|\_|$  Precommencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- $|\_|$  Precommencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Section 2 - Financial Information

Item 2.02 Results of Operations and Financial Condition.

On November 9, 2006, Capstone Turbine Corporation issued a press release announcing its financial results for the second quarter ended September 30, 2006. A copy of the press release is furnished as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated herein by reference in its entirety.

Section 9 - Financial Statements and Exhibits

Item 9.01 Financial Statements and Exhibits.

# Edgar Filing: CAPSTONE TURBINE CORP - Form 8-K

- (a) Not applicable.
- (b) Not applicable.
- (c) Exhibits.

Exhibit 99.1 Press release of Capstone Turbine Corporation dated November 9, 2006 containing financial information for the second quarter ended September 30, 2006.

## SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CAPSTONE TURBINE CORPORATION (Registrant)

Date: November 9, 2006 By: /s/ Walter J. McBride

Executive Vice President and Chief

Financial Officer

# Exhibit Index

| Exhibit Number | Description of Document  |
|----------------|--|
|                |  |
| 99.1           | Press release of Capstone Turbine Corporation dated November 9, 2006 containing financial information for the second quarter ended September 30, 2006. |