

WELLPOINT INC
Form 3
December 02, 2004

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|---|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â HERMAN JOAN E | | (Month/Day/Year) | WELLPOINT INC [WLP] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| 120 MONUMENT CIRCLE | | | (Check all applicable) | |
| (Street) | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) | |
| INDIANAPOLIS,Â INÂ 46204 | | | EVP | |
| (City) | (State) | (Zip) | 6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 114 | I | 401(k) |
| Common Stock | 46,319 | I | The Herman-Rasiej Family Trust Account |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|------------------------------------|---------------------------------|--|
|---|---|--|------------------------------------|---------------------------------|--|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|---|---------------------|--------------------|-----------------|----------------------------------|------------------------|---|---|
| Deferred Comp Stock Units | Â <u>(1)</u> | Â <u>(1)</u> | Common Stock | 7,329 | \$ 0 | D | Â |
| Deferred Comp Stock Units | Â <u>(2)</u> | Â <u>(2)</u> | Common Stock | 2,568 | \$ 0 | D | Â |
| Deferred Comp Stock Units | Â <u>(3)</u> | Â <u>(3)</u> | Common Stock | 10,600 | \$ 0 | D | Â |
| Employee Stock Option (right to buy) | Â <u>(4)</u> | 06/04/2008 | Common Stock | 2,884 | \$ 29.28 | D | Â |
| Employee Stock Option (right to buy) | Â <u>(4)</u> | 06/04/2008 | Common Stock | 432 | \$ 38.39 | D | Â |
| Employee Stock Option (right to buy) | Â <u>(4)</u> | 06/04/2008 | Common Stock | 367 | \$ 34.81 | D | Â |
| Employee Stock Option (right to buy) | Â <u>(4)</u> | 06/03/2011 | Common Stock | 59,520 | \$ 34.92 | D | Â |
| Employee Stock Option (right to buy) | Â <u>(4)</u> | 06/04/2008 | Common Stock | 6,148 | \$ 34.92 | D | Â |
| Employee Stock Option (right to buy) | Â <u>(4)</u> | 01/31/2011 | Common Stock | 80,114 | \$ 38.9 | D | Â |
| Employee Stock Option (right to buy) | Â <u>(4)</u> | 06/04/2008 | Common Stock | 5 | \$ 39.72 | D | Â |
| Employee Stock Option (right to buy) | Â <u>(4)</u> | 02/11/2009 | Common Stock | 3,519 | \$ 39.86 | D | Â |
| Employee Stock Option (right to buy) | Â <u>(4)</u> | 06/04/2008 | Common Stock | 3,747 | \$ 43.47 | D | Â |
| Employee Stock Option (right to buy) | Â <u>(4)</u> | 06/04/2008 | Common Stock | 11,252 | \$ 47.54 | D | Â |
| Employee Stock Option (right to buy) | Â <u>(4)</u> | 06/03/2008 | Common Stock | 3,502 | \$ 49.04 | D | Â |
| Employee Stock Option (right to buy) | Â <u>(4)</u> | 02/10/2009 | Common Stock | 2,036 | \$ 49.04 | D | Â |
| Employee Stock Option (right to buy) | Â <u>(5)</u> | 02/06/2012 | Common Stock | 119,824 | \$ 51.21 | D | Â |
| Employee Stock Option (right to buy) | Â <u>(4)</u> | 02/10/2010 | Common Stock | 1,822 | \$ 54.85 | D | Â |
| Employee Stock Option (right to buy) | Â <u>(4)</u> | 01/31/2011 | Common Stock | 17,711 | \$ 54.85 | D | Â |
| | Â <u>(6)</u> | 02/04/2013 | | 68,200 | \$ 55.1 | D | Â |

| Employee Stock Option (right to buy) | | | Common Stock | | | | |
|--------------------------------------|-------|------------|--------------|--------|----------|---|---|
| Employee Stock Option (right to buy) | Â (4) | 02/10/2010 | Common Stock | 9,036 | \$ 79.18 | D | Â |
| Employee Stock Option (right to buy) | Â (4) | 01/31/2011 | Common Stock | 6,749 | \$ 79.18 | D | Â |
| Employee Stock Option (right to buy) | Â (4) | 02/04/2013 | Common Stock | 36,586 | \$ 79.18 | D | Â |
| Employee Stock Option (right to buy) | Â (7) | 01/25/2014 | Common Stock | 79,360 | \$ 82.06 | D | Â |
| Employee Stock Option (right to buy) | Â (4) | 02/10/2009 | Common Stock | 8,467 | \$ 87.72 | D | Â |
| Employee Stock Option (right to buy) | Â (4) | 02/06/2012 | Common Stock | 10,157 | \$ 87.72 | D | Â |
| Employee Stock Option (right to buy) | Â (4) | 02/04/2013 | Common Stock | 17,118 | \$ 87.72 | D | Â |
| Employee Stock Option (right to buy) | Â (4) | 02/10/2010 | Common Stock | 3,244 | \$ 87.72 | D | Â |
| Employee Stock Option (right to buy) | Â (4) | 01/31/2011 | Common Stock | 1,138 | \$ 87.72 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| HERMAN JOAN E 120 MONUMENT CIRCLE INDIANAPOLIS, IN 46204 | Â | Â | Â EVP | Â |

Signatures

Nancy Purcell,
Attorney-in-fact

12/02/2004

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Deferred share right grant made in the Company's Comprehensive Executive Non-qualified Retirement Plan and awarded pursuant to the Company's 1999 Stock Incentive Plan. The deferred shares are fully vested.
- (2) Deferred share right grant made in the Company's Comprehensive Executive Non-qualified Retirement Plan and awarded pursuant to the Company's 1999 Stock Incentive Plan. The deferred shares will vest on March 15, 2005.
- (3) Deferred share right grant made in the Company's Comprehensive Executive Non-qualified Retirement Plan and awarded pursuant to the Company's 1999 Stock Incentive Plan. The deferred shares will vest in three equal annual installments beginning January 26, 2005, January 26, 2006 and January 26, 2007.

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- (4) These shares are fully vested.
- (5) The options vested with respect to 97,504 shares and 22,320 options will vest on February 7, 2005.
- (6) The options will vest in three equal installments on February 5, 2005, August 5, 2005 and February 6, 2006.
- (7) The options are vested with respect to 13,226 shares and 66,134 options will vest in five equal installments on January 26, 2005, July 26, 2005, January 26, 2006, July 26, 2006 and January 26, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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