

Schaub Russell  
Form 4  
August 14, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Schaub Russell

(Last) (First) (Middle)

9400 SW  
BEAVERTON-HILLSDALE  
HWY, SUITE 131

(Street)

BEAVERTON, OR 97005

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Great Ajax Corp. [AJX]

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/01/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
PRESIDENT

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				(A) or (D)	Price			
				Code	V	Amount		
Common Stock, par value \$0.01 per share	08/01/2017		A	4,000 (1)	A	\$ 13.95	65,685.838 (2)	D
Common Stock, par value \$0.01 per share	08/10/2018		A	4,000 (3)	A	\$ 13.58	74,626.958 (4)	D
						82.347	(5)	I
								By wife

Common  
Stock, par  
value  
\$0.01 per  
share

Common  
Stock, par  
value  
\$0.01 per  
share

Common  
Stock, par  
value  
\$0.01 per  
share

Common  
Stock, par  
value  
\$0.01 per  
share

1,120.147 <sup>(5)</sup> I

By  
dependent  
(Rebecca)

1,120.147 <sup>(5)</sup> I

By  
dependent  
(Benjamin)

1,120.147 <sup>(5)</sup> I

By  
dependent  
(Samuel)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6)
				Code V (A) (D)		Date Exercisable Expiration Date	Title Amount or Number of Shares		

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

## Edgar Filing: Schaub Russell - Form 4

Director    10% Owner    Officer    Other

Schaub Russell  
9400 SW BEAVERTON-HILLSDALE HWY  
SUITE 131  
BEAVERTON, OR 97005

X

PRESIDENT

## Signatures

/s/ Russell                      08/14/2018  
Schaub

      Signature of                      Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Granted pursuant to the 2016 Equity Incentive Plan, one third of which have vested on August 1, 2018 and the rest will vest equally on
- (1) August 1, 2019 and August 1, 2020. The reporting person inadvertently failed to report this grant on Form 5 for the registrant's 2017 fiscal year.
- (2) Includes the following shares acquired under the Issuer's Dividend Reinvestment Plan: 995.948 shares acquired on March 31, 2017 and 1,074.716 shares acquired on May 30, 2017.
- (3) Granted pursuant to the 2016 Equity Incentive Plan, which will vest in three equal installments annually starting August 10, 2019.
- (4) Includes the following shares acquired under the Issuer's Dividend Reinvestment Plan: 1,240.075 shares on August 30, 2017; 1,243.971 shares on December 1, 2017; 1,349.174 shares on March 30, 2018; and 1,107.9 shares on May 30, 2018.
- Includes the following shares acquired under the Issuer's Dividend Reinvestment Plan: 1.389 shares on March 31, 2017; 1.499 shares on
- (5) May 30, 2017; 1.611 shares on August 30, 2017; 1.616 shares on December 1, 2017; 1.753 shares on March 30, 2018; and 1.829 shares on May 30, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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