### GRAN TIERRA ENERGY INC.

Form 3 July 01, 2016

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Trimble Rodger Derrick

(Last)

SW

(First)

C/O GRAN TIERRA ENERGY

INC., 200, 150-13 AVENUE

(Street)

(Middle)

Statement (Month/Day/Year)

06/24/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

GRAN TIERRA ENERGY INC. [GTE]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director

10% Owner

\_X\_\_ Officer Other (give title below) (specify below) VP Investor Relations

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

CALGARY. A0Â T2R 0V2

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

(Instr. 4)

Beneficially Owned

2. Amount of Securities

3. Ownership

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. 6. Nature of Indirect Ownership Beneficial Ownership Form of (Instr. 5)

(Instr. 4)

Title Amount or

Derivative Price of Security: Derivative Security Direct (D)

(Instr. 4)

Expiration Exercisable Date

Number of

1

### Edgar Filing: GRAN TIERRA ENERGY INC. - Form 3

Stock Options (Right to Buy)  $\hat{A} \stackrel{(1)}{=} 03/01/2021 \stackrel{Common}{Stock} 13,600 $2.66 D <math>\hat{A}$ 

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Trimble Rodger Derrick

C/O GRAN TIERRA ENERGY INC.
200, 150-13 AVENUE SW

CALGARY, A0Â T2R 0V2

## **Signatures**

/s/ Diane Phillips,
Attorney-In Fact

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- One-third of the stock options will vest on 03/02/17, an additional one-third of the stock options will vest on 03/02/18 and the remaining one-third of the stock options will vest on 03/02/19.

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#### **Remarks:**

Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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