

HALLADOR ENERGY CO
Form 4
December 17, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HARDIE STEVEN

2. Issuer Name and Ticker or Trading Symbol
HALLADOR ENERGY CO [HNRG]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
940 SOUTHWOOD BLVD., SUITE 201
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/10/2013

Director 10% Owner
 Officer (give title below) Other (specify below)

INCLINE VILLAGE, NV 89451

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount (D) or Price (A)			
Common Stock	12/13/2013		S		15,746 D (4) \$ 7.5819	705,588	I	By Robert Hardie QTIP Trust (1)
Common Stock	12/16/2013		S		8,574 D (4) \$ 7.6596	697,014	I	By Robert Hardie QTIP Trust (1)
Common Stock	12/17/2013		S		6,345 D (4) \$ 7.6164	690,669	I	By Robert Hardie QTIP Trust

Edgar Filing: HALLADOR ENERGY CO - Form 4

								(1)	
Common Stock	12/13/2013		S	8,624	<u>D</u> (4)	\$ 7.5819	212,294	I	By Hallador Special Holdings LLC ⁽²⁾
Common Stock	12/16/2013		S	4,696	<u>D</u> (4)	\$ 7.6596	207,598	I	By Hallador Special Holdings LLC ⁽²⁾
Common Stock	12/17/2013		S	3,475	<u>D</u> (4)	\$ 7.6164	204,123	I	By Hallador Special Holdings LLC ⁽²⁾
Common Stock	12/13/2013		S	3,450	<u>D</u> (4)	\$ 7.5819	3,094,410	I	By Hallador Alternative Assets Fund LLC ⁽³⁾
Common Stock	12/16/2013		S	1,879	<u>D</u> (4)	\$ 7.6596	3,092,531	I	By Hallador Alternative Assets Fund LLC ⁽³⁾
Common Stock	12/17/2013		S	1,391	<u>D</u> (4)	\$ 7.6164	3,091,140	I	By Hallador Alternative Assets Fund LLC ⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number

