Edgar Filing: FEDERICO RICHARD L - Form 4

FEDERICO RICHARD L

Form 4 March 12, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

2. Issuer Name and Ticker or Trading

OMB

Expires:

5. Relationship of Reporting Person(s) to

3235-0287 Number:

OMB APPROVAL

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STOCK

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

03/08/2013

| FEDERICO RICHARD L (Last) (First) (Middle) | | | Symbol JAMBA, INC. [JMBA] | | | | Issuer (Check all applicable) | | | | |
|---|----------------|-------------------|---------------------------------|--------------------|------------------------|---------|---|---|----------------------------|-------------------------|--|
| | | | 3. Date of Earliest Transaction | | | | | | | | |
| (Eust) | (1130) | (midule) | (Month/Day | | saction | | | X Director | 10% | Owner | |
| 6475 CHRISTIE AVENUE, SUITE 150 | | | 03/08/2013 | | | | | | title Othe below) | | |
| (Street) | | | 4. If Amendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | | |
| EMERYVILLE, CA US 94608 | | | Filed(Month/Day/Year) | | | | | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| EMERT VIEL | L, C/1 05)-1 | 000 | | | | | | Person | | | |
| (City) | (State) | (Zip) | Table I | - Non-Der | ivative Se | curitie | s Acqu | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of | 2. Transaction | Date 2A. D | eemed | 3. | 4. Securit | | | 5. Amount of | 6. Ownership | 7. Nature of | |
| Security (Instr. 3) | (Month/Day/Yo | ear) Execu any | tion Date, if | Transactio Code | onAcquired Disposed | | | Securities Beneficially | Form: Direct (D) or | Indirect Beneficial | |
| | | • | th/Day/Year) | (Instr. 8) | • | | | Owned Following Reported Transaction(s) (Instr. 3 and 4) | Indirect (I) (Instr. 4) | Ownership (Instr. 4) | |
| COMMON | 03/08/2013 | | | М | 2 500 | ٨ | (1) | 25,000 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

25,000

<u>(1)</u>

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2,500

M

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amou Underlying Securi (Instr. 3 and 4) | |
|--|---|--------------------------------------|---|--|--|--|--------------------|--|-----------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | A O N O S |
| RESTRICTED STOCK UNIT | (1) | 03/08/2013 | | M | 2,500 | 03/08/2013 | 03/08/2013 | COMMON STOCK | , |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| FEDERICO RICHARD L 6475 CHRISTIE AVENUE, SUITE 150 EMERYVILLE, CA US 94608 | X | | | | | |
| Cianaturas | | | | | | |

Signatures

/s/ Vicki Pedersen, attorney-in-fact for Richard L. Federico 03/12/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of JMBA common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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