#### Edgar Filing: FLOTEK INDUSTRIES INC/CN/ - Form 4

FLOTEK INDUSTRIES INC/CN/ Form 4 February 18, 2009 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading DUMAS JERRY D SR Issuer Symbol FLOTEK INDUSTRIES INC/CN/ (Check all applicable) [FTK] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 2930 W. SAM HOUSTON PKWY. 02/16/2009 CEO and Chairman N, STE. 300 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line) Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting HOUSTON, TX US 77043 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Price Code V Amount (D) Common 504,376 D Stock Restricted 107,572 Stock \$0 02/16/2009 А A 611,948 D (1) Award Saxton River Common 18.096 I Stock Corporation Dora Tes Common **Basileas** 26,000 Ι Stock Foundation

### Edgar Filing: FLOTEK INDUSTRIES INC/CN/ - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Stock Option	\$ 9.4					09/21/2005	09/20/2014	Common	130,27
Stock Option	\$ 2.125					12/10/2005	12/09/2014	Common	66,940
Stock Option	\$ 13.805					03/13/2008	03/12/2013	Common	54,348
Stock Option	\$ 22.37					05/18/2008	05/17/2013	Common	66,600
Stock Option	\$ 22.75					03/28/2009	03/27/2014	Common	27,776
Stock Option	\$ 2.51	02/16/2009		А	200,000	02/16/2010	02/15/2015	Common	200,00

## **Reporting Owners**

Reporting Owner Name / Address		Relationships					
		Director	10% Owner	Officer	Other		
DUMAS JERRY D SR 2930 W. SAM HOUSTON F STE. 300 HOUSTON, TX US 77043	PKWY. N			CEO and Chairman			
Signatures							
s/s Jerry D. Dumas, Sr.	02/18/2009						

<u>\*\*</u>Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock award in Table 1 is vested 25% each year on anniversary date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.